

**OUR LADY OF WALSINGHAM CATHOLIC MULTI ACADEMY
TRUST**

SCHEME OF DELEGATION

EFFECTIVE DATE: 1 September 2021

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1. INTRODUCTION

- 1.1 Our Lady of Walsingham Catholic Multi Academy Trust (referred to herein as the “**Trust**”) was established on 1 November 2016 as a multi academy trust and, in consequence of it entering into both a Master Funding Agreement and a number of Supplemental Funding Agreements (the “**Funding Agreements**”), operates and maintains a group of Catholic schools in the Diocese of East Anglia (the “**Academies**”). The Academies shall at all times remain Catholic schools, conducted in conformity with any trust deed governing the use of land used by the Trust and in conformity with canon law and with the teachings of the Catholic Church. Both the Trust and the Academies must comply with any advice or directive issued by or on behalf of the Diocesan Bishop.
- 1.2 The Trust is both a company limited by guarantee, registered at Companies House (Company Number 8444133) and, by virtue of its charitable object “to.... advance the Catholic religion in the Diocese by such means as the Bishop may think fit and proper by establishing Catholic schools and with the approval of the Bishop other Academies” (i.e. schools which are not Catholic schools) is a charity. The Trust is not a registered charity but an “exempt” charity, regulated by the Secretary of State for Education, who acts as the Principal Regulator.
- 1.3 The Trust’s constitution is set out in its Articles of Association and this Scheme of Delegation has been put in place in accordance with the provisions of the Articles and should be read in the light of them. This includes the Diocesan Memorandum of Understanding, attached at Appendix 1, which must be observed and complied with.
- 1.4 The purpose of this Scheme of Delegation is to provide clarity as to the role and responsibilities of those who contribute to the governance of the Academies and the Trust itself. All those with governance and management responsibilities must be familiar with this Scheme of Delegation so that appropriate steps can be taken to ensure there is sufficient and proper challenge of those with leadership responsibilities, to ensure that senior leaders are held to account for the performance of the Academies and that there is financial stability within the Trust.
- 1.5 This Scheme of Delegation will apply to each of the Academies.
- 1.6 The Effective Date of this Scheme of Delegation is 1 September 2021 and it will normally be reviewed every two years, on the recommendation of and in consultation with the Local Governing Bodies.

2. VISION AND VALUES

- 2.1 Our vision is to develop and constantly provide a class-leading community of Catholic schools, providing the highest possible care for every child, supporting equality of opportunity and promoting learning that optimises individual talent. Pupils are cherished for who they are, as much as for what they achieve and all achievements are celebrated.

The Trust Directors will work closely with schools, their governors, staff, parents and the local community to develop together the successful citizens of our future. We aspire for all our pupils to become valued citizens equipped with a vocation to serve their local community and a global society.

The distinctive ethos of the MAT is founded on the Gospel values of faithfulness, humility, truth, forgiveness, tolerance, dignity, service and respect. They are at the heart of all we teach our young people and inform our 5 Core Principles:

1. **Lead by example:** respect others as you would wish them to respect you
2. **Strive for excellence:** be the best you can be in all you do
3. **Education for all:** with a particular duty of care for the poor and disadvantaged
4. **Common Good:** working in partnership and providing mutual support to benefit all
5. **Service and Inclusiveness:** reaching out to other schools requiring support

Our aim is for every school in the MAT to achieve excellence in its results and ethos and this to be seen most evidently in the young people as they move from each educational establishment as successful, rounded, aspirational, caring and ethical-led individuals to the next stage of their education.

- 2.2 The Academies, and those responsible for the governance and management of the Trust and the Academies, have committed to working in partnership, supporting one another. The drafting and adoption of this Scheme of Delegation has been guided by the following principles:

2.2.1 Catholic Ecclesiology

The Trust is part of a family of Catholic schools that exist to further the Church's saving mission by educating its young people in accordance with the teachings of the One Teacher, Jesus Christ. In carrying out

any functions on behalf of the Trust all Members, Directors and those in any way involved in the business of the Trust and the Academies recognise the Bishop of East Anglia as the Chief Teacher and the focus of unity in the Diocese and will carry out those functions and responsibilities in full communion with him and in accord with the mind of the Catholic Church. Accordingly, the functions of the Trust and the Academies will be conducted in accordance with the following principles and observing the commitments set out in the Diocesan Memorandum of Understanding.

2.2.2 Equal Partners

The Academies are equal partners within the Trust and at all times the partners will strive for consensus in decision making, recognising that each Academy has both strengths and weaknesses. The Academies will seek to preserve and protect each other's distinctiveness and will be respectful of each Academies' respective ethos and mission. The Academies will work collaboratively with each other, sharing resources, knowledge and best practice, to fulfil the Trust's mission, vision and values.

2.2.3 Transparency

All those involved in the running and oversight of the Trust and the Academies will be open and honest in their dealings with each other, at all times acting in good faith and recognising the value of independence and separation in relation to decision making.

2.2.4 Subsidiarity

Decisions are to be taken at the level nearest to those affected by those decisions which is compatible with the principles of solidarity and support for the common good, avoiding unnecessary bureaucracy and aiming in so far as possible to make changes to established practices only where it can be demonstrated there is a reasonable need. Where governance responsibility is delegated appropriate decision-making authority will be given. The parameters of such authority will be clear and those to whom responsibility is given will be required to report to those who retain overall responsibility.

2.2.5 Solidarity

All those with responsibility for the Trust and the Academies share a particular commitment to the mutual support of all Academies for whom the Trust is responsible, especially those that are in need of assistance at any particular time. Accordingly, this Scheme of Delegation recognises the obligation to contribute to the common good of all Academies from out of the resources entrusted to them. The Directors will ensure that common action and collaboration is conducted at the correct level and that the balance between subsidiarity and solidarity serves the common good in the most appropriate way.

2.2.6 The Common Good

All recognise their responsibility towards the common good, not just of the Academies for whom the Trust is responsible and the wider Diocesan family of schools, but of all of the families and communities in the areas served by the Trust. In light of the principle of common good, those with particular responsibility for an Academy acknowledge the desire and obligation on the Directors to put in place measures to ensure that any Academy for whom the Trust is responsible is supported when the need arises.

3. ACCOUNTABILITY: GOVERNANCE AND MANAGEMENT STRUCTURES

3.1 Overall Structure

- 3.1.1 The nature of the Trust as a company running multiple academies means there are many governance and management layers.
- 3.1.2 The “**Members**” of the Trust are equivalent to shareholders of a trading company but as the Trust is charitable with no power to trade or distribute profit to shareholders, the Members are best viewed as guardians of the constitution, ensuring the charitable object is fulfilled. Each Member’s liability is limited to £10 and, in view of the limited liability and therefore scope for accountability, they have limited governance and no day-to-day management responsibilities.
- 3.1.3 The corporate management and “**Director**” responsibility for the Trust is vested in the “**Directors**”, who will also be the company directors registered with Companies House. The Directors are personally responsible for the actions of the Trust and the Academies and are accountable to the Members, the Secretary of State for Education and the wider community for the quality of the education received by all pupils of the Academies and the expenditure of public money. The Directors are required as Directors and pursuant to the Funding Agreements to have systems in place through which they can assure themselves of the quality, safety and good practice of the affairs of the Trust. The Directors meet as a board of Directors, generally known as the “**Trust Board**”. All Directors have the same responsibility to act in the best interests of the Trust and the Academies, irrespective of their role on the Board, i.e. whether appointed in an executive capacity or appointed as a representative of the Academies. The majority of Directors are appointed by the Bishop as ‘Foundation’ Directors as detailed in the Memorandum of Understanding with the Diocese.
- 3.1.4 Directors appointed by the Bishop as ‘Foundation Directors’ must be in a majority of +2 over all other Directors. There are 3 other possible Director categories which may be used. These are Executive Directors, Parent Directors and Co-opted Directors. Executive Directors are appointed by the Directors as senior employees of the Trust, designated as Executive Directors and hold the position of Executive Director for as long as they hold the relevant position e.g. the CEO. Parent Directors are appointed by a process of nomination and

election (if there are more than 2 nominations), with the process and electorate determined by the Directors. Two Parent Directors are appointed to the Board by this process. Co-opted Directors may be appointed by those Directors who have not themselves been co-opted.

3.1.5 The Directors oversee the management and administration of the Trust and the Academies run by the Trust and delegate authority and responsibility to others, including executive officers and individuals who are locally based who can undertake the day-to-day management and governance of the Academies. The Trust Board will continue to have the necessary strategic and legal oversight of the Trust and will monitor all activities; determining the strategic direction of the Trust, assessing the performance of the Academies and establishing and reviewing the policies and practices governing the life of the Academies, at all times being supported and working with both the Heads Operations Committee and the Local Governing Bodies.

3.1.6 Article 101 of the Articles of Association provides for the appointment by the Directors of committees or working groups to whom the Trust Board may delegate certain functions and responsibilities. The Trust Board has established “**Local Governing Bodies**” for each of the Academies and their power is derived from the Trust Board. Where individual Academies are federated or an executive leadership arrangement is in place across two or more Academies the Trust Board will determine, in consultation with the Local Governing Bodies, the structure and best arrangement of Governance across the two or more Academies, which may be a Federated Joint Local Governing Body for the Academies. Like the Directors on the Trust Board, the individuals (the “**Governors**”) serving on a Local Governing Body are also responsible for fulfilling a largely strategic, governance role in the conduct of the Academies in conjunction with a “**Headteacher**” (executive headteacher, headteacher or head of school, as the case may be), who is responsible for the internal organisation, management and control of the Academy (or Academies where schools are federated or an executive arrangement is in place). The Trust Board will also delegate management responsibility directly to the Headteachers, who will report primarily to the CEO and Local Governing Body but subject to the oversight exercised by the Trust Board.

- 3.1.7 The Trust Board has also set up a committee, the “**Heads Operations Committee**” of representatives of the Academies to provide a focus for the setting of policy and to help develop the strategic vision of the Trust.

3.2 Role of the Members and the Diocesan Schools Department

3.2.1 The Members are the guardians of the constitution, determining the governance structure of the Trust and providing oversight and challenge of the Directors to ensure the charitable object of the Trust is being fulfilled. Whilst the Members have limited legal and financial responsibility for the affairs of the Trust, the Department for Education recommends that there is some distinction between the Members and the Directors as “this promotes internal challenge and scrutiny, which members who are independent of the Directors can provide” (Governors’ Handbook September 2014). In view of the overarching role of the Members, the Diocesan Bishop will be a Member together with the Diocesan Corporate Director.

3.2.2 The Members’ key responsibilities are:

3.2.2.1 to ensure the Objects of the Trust are met, the Annual Report should address this which will be presented to the Members either at the Annual General Meeting or by other means;

3.2.2.2 to determine the Trust’s constitution and governance structure, i.e. the Articles which will have been finalised on incorporation; and

3.2.2.3 exercising either a direct power under the Articles or a statutory power under the Companies Act 2006 to appoint and remove Directors (noting any power of the Trust Board or the Bishop to make such appointments), which should be exercised sparingly given the management responsibility is vested in the Trust Board.

3.2.3 The Bishop, through the Diocesan Schools Department, will also exercise oversight and supervision as diocesan authority and the Diocesan Memorandum of Understanding seeks to articulate this. The Members, Directors and Governors are required to observe any requirements and conditions of the Diocese.

3.3 Role of the Directors and the Trust Board

- 3.3.1 The Directors have overall responsibility and ultimate decision-making authority for all the work of the Trust, including the establishing and maintaining of the Academies (which includes taking existing schools into the Trust). The Directors have the power to direct change where required.
- 3.3.2 As Directors of a charity, the Directors have a fiduciary duty to act in good faith in the best interests of the charity. This duty includes a responsibility to do the following:
- 3.3.2.1 to ensure compliance with any legal obligations;
 - 3.3.2.2 to report on the charity's activities (the Trust must prepare accounts in accordance with the Statement of Recommended Practice for Charities, the so called "**Charity SORP**");
 - 3.3.2.3 to fulfil the charitable object of the charity as set out in its constitution (i.e. the **Articles of Association**) and to act in a way which is compliant with the rules of the charity contained in the Articles;
 - 3.3.2.4 to act with integrity and to avoid any personal conflicts of interest and not to misuse any charity funds or assets;
 - 3.3.2.5 to act prudently in the financial management of the Trust, avoiding putting any assets, funds or reputation of the Trust at undue risk;
 - 3.3.2.6 to exercise reasonable care and skill, using personal knowledge and experience to ensure the Trust is well run and efficient; and
 - 3.3.2.7 to act responsibly, getting advice from others, including professional advisors, where appropriate.
- 3.3.3 The Directors must act impartially and in the best interest of the Trust even if those interests conflict with those of the body or organisation that might have appointed or nominated such Director to serve on the Trust Board, this will include a conflict of loyalty where there is no obvious benefit involved, as well as a conflict of interest. The Trust

Board has adopted a formal **Conflict of Interest Policy**, attached to this Scheme of Delegation as Appendix 6, in order to assist all those involved in the running of the Trust at every level to avoid and manage conflicts. Specific attention must be given to any arrangement whereby a Member or Director will personally benefit from an arrangement and the Conflict of Interest Policy makes reference to the ESFA's guidance on "related party transactions" which all those involved in the running of the Trust must have regard to. This does recognise however the fundamental and necessary relationships between the Members (including the Diocese), the Directors and the Academies in both governance and management terms and that individuals may serve in a number of capacities and at numerous levels within the Trust.

3.3.4 The specific tasks and responsibilities of the Trust Board are as follows:

- 3.3.4.1 to determine and fulfil the shared vision and ethos of the Trust and the Academies, acknowledging the uniqueness of each individual Academy and the needs of the communities they serve;
- 3.3.4.2 to develop a strategic plan for the Trust and to effectively communicate that plan so this can be implemented across the Trust and all the Academies, including determining any future expansion of the Trust and the relationship that the Trust has with the Diocese and the Secretary of State for Education (including also the Department for Education, the Education and Skills Funding Agency and Ofsted);
- 3.3.4.3 to develop and support strategic partnerships with other bodies and organisations, including service providers and government agencies, which will further the Trust's strategic plan;
- 3.3.4.4 to act as a critical friend to each of the Local Governing Bodies and to ensure they are effective;
- 3.3.4.5 to determine and implement policies and procedures which it is intended will achieve a consistently high standard of education and financial prudence across the Trust dealing with (but not limited to) the following:

- Human Resources/Employment
- Audit & Risk Management
- Contingencies and Reserves (both central and at Academy level)
- Governance
- Health & Safety
- Operational Matters
- Data Management
- Complaints and Appeals
- Legal Compliance

3.3.4.6 to work with the Heads Operations Committee to develop the local capacity within the Trust to provide both governance and leadership support and mentoring to the Local Governing Bodies and the leadership teams within the Academies, as well as direct school improvement support, facilitating the development of the Academy Action Plans where required;

3.3.4.7 working with the Diocese and having regard to any recommendations by the Diocesan Schools Department, to make or facilitate the making of suitable appointments of governors who will serve on the Local Governing Bodies, including removing governors who fail to fulfil the expectations on Governors set out in this Scheme of Delegation or the MAT Code of Conduct;

3.3.4.8 to determine the budget for any shared or central expenditure and support and to monitor the individual Academy budgets

3.3.4.9 to monitor and evaluate the delivery of the central or shared services and functions provided by any Executive Team, ensuring there is comprehensive support to the Academies and procuring any strategic third-party services as determined appropriate;

3.3.4.10 to ensure there is a proper system for the internal audit of the accounts of the Trust (including the Academies) and the financial procedures followed by the Academies, facilitating

- the auditing of the Trust's accounts by the Trust's auditors, establishing a formal Audit Committee (which may be combined with another committee) adopting the terms of reference set out in Appendix 5 which will be responsible for carrying out periodic internal audits of the Academies' financial processes, procedures and accounting records;
- 3.3.4.11 to act as the ultimate decision maker in relation to any appeals by staff following disciplinary or grievance procedures;
- 3.3.4.12 to ensure proper advice is available to the Trust and the Academies in relation to legal and compliance matters;
- 3.3.4.13 to ensure that insurance or risk protection cover is put in place and maintained for all risk areas including damage to property, employer liability, public and third-party liability and Director liability;
- 3.3.4.14 to liaise with and support the Local Governing Bodies ensuring there is collaboration not just within a region but across the whole Trust, emphasising and facilitating the benefits of such collaboration.
- 3.3.5 The following are the core competencies and skills expected of all Directors:
- 3.3.5.1 to work as a team;
- 3.3.5.2 to attend meetings and be prepared to contribute to discussions;
- 3.3.5.3 to be respectful of the views of others and to be open to new ideas and thoughts;
- 3.3.5.4 to treat all confidential information confidentially;
- 3.3.5.5 to develop a deep understanding of the vision and ethos of the Trust, in particular its Catholic purpose and responsibility for safeguarding of children, and its Academies and the roles played by all individuals in fulfilment of the Trust and the Church's mission;
- 3.3.5.6 to understand the policies and procedures of the Trust and

how these flow down to the Academies;

3.3.5.7 to support the Trust and the Academies in public and act as an ambassador;

3.3.5.8 to commit to training and skills development

3.3.5.9 to be ready to ask questions;

3.3.5.10 to be focused on problem solving and be ready to learn from past experiences.

3.3.6 Specific skills may be needed if a Director is to take responsibility for and lead on a specific area. A regular skills audit will be undertaken and Directors should expect to be able to articulate their contribution to the success of the Trust and the Academies.

3.4 Executive Functions

3.4.1 As a non-executive body, the Trust Board must rely on others to fulfil the executive functions. For the most part, this responsibility will fall on the Headteachers of the Academies, supported by the leadership teams. Certain functions relating to the management of the Trust itself or which relate to the activities of more than one Academy may be undertaken by an “**Executive Team**”, who may also fulfil a function in the Academies.

3.4.2 The need for and size of the Executive Team will be determined by the Trust Board. Where possible, resources will be drawn from the Academies themselves rather than the use of external consultants or through recruitment, but always taking into account the views of the Local Governing Body in respect of any such secondment and the effect it may have on the capacity or capability of the leadership of individual academies to fulfil their function. This will include the identification of persons who will fulfil the roles of the Chief Executive Officer (which will include the role of the “Accounting Officer”) and Director of Finance and Operations (which will include the role of “Chief Financial Officer”) as required by the Academy Trust Handbook (AFH). For more information on these roles please see the Scheme of Financial Delegation – Appendix 2.

- 3.4.3 The likely Headteacher functions of the Executive Team are:
- 3.4.3.1 to manage the conversion of schools to Academies. Prior to a school's conversion, the Executive Team will carry out relevant due diligence to establish the school's position and identify any actions required to address areas of weakness or opportunities for improvement (including the formulation of a 12-month strategic plan for the Academy (the "**Academy Action Plan**")), and, in conjunction with the DfE, relevant Local Authority and the Trust's solicitors, will manage the associated legal processes;
 - 3.4.3.2 following conversion and where appropriate, to assist with the development and implementation of the Academy Action Plan;
 - 3.4.3.3 to provide operational and management support to the Headteachers and senior leadership teams within the Academies (including assisting with budget-setting), and to lead on responsibility for safeguarding of children throughout the Catholic MAT;
 - 3.4.3.4 to facilitate the production of management information on the Academies and to support the production of the Trust's and the Academies' financial accounts;
 - 3.4.3.5 to implement and review systems for the benchmarking of the Academies across the group (including financial as well as educational performance), developing systems for cross fertilisation and supporting the Local Governing Bodies in maximising the opportunities for resource sharing and collaboration;
 - 3.4.3.6 to ensure that insurance or risk protection cover is put in place and maintained for all risk areas including damage to property, employer liability, public and third-party liability and Director and governor liability;
 - 3.4.3.7 to manage any reserves or contingency held centrally by the Trust Board in accordance with the reserves policy adopted by the Trust;

3.4.3.8 to work with the Diocese (Buildings and Capital) to undertake the strategic management of the whole school estate, advising the Trust Board on areas of risk and assessing the Trust's overall safeguarding responsibilities, including assisting the Local Governing Bodies in drawing up a long-term estate plan which identifies areas in need of expansion and/or development and areas likely to be surplus to requirements; and

3.4.3.9 to work with the Diocese (Buildings and Capital) to take a lead on any capital bids and allocations, supporting the Academies' premises teams to carry out works safely and cost effectively.

3.4.4 The Executive Team under the direction of a "**Chief Financial Officer**" (which again could be resourced from amongst the Academies) will also supervise and facilitate the production of accounts (both at Academy and Trust level) and the auditing of those accounts for disclosure purposes and will report on the activities of all the Academies to the relevant statutory bodies.

3.4.5 The cost of the functions undertaken by the Executive Team and the Trust Board are generally funded on a fair and proper basis by the Academies.

3.5 Role of the Heads Operations Committee

3.5.1 The Trust operates across a wide area, but with an emphasis working in local clusters in order to promote shared working and to build leadership capacity and expertise, with an emphasis on standards and school improvement.

3.5.2 The Heads Operations Committee will provide a forum and focus for performance benchmarking and will support the Local Governing Bodies, facilitating peer to peer review. It will advise the Trust Board on the agreed strategic focus for the Academies, as well as the threats and opportunities facing the Academies.

3.5.3 Terms of Reference for the operation of the Heads Operations Committee are attached to this Scheme of Delegation as Appendix 10.

3.5.4 The Trust Board will have regard to the interests of all the Academies in deciding and implementing any policy or exercising any authority in respect of any one or all of the Academies for which it is responsible. Notwithstanding this, the priority for the Trust Board is to put in place measures to ensure that any of the Academies are supported when the need arises.

3.6 The role of the Governors and the Local Governing Bodies

3.6.1 The role of a Governor within a multi academy trust is an important one. In developing a governance structure, the Trust has sought to ensure that as much as possible the responsibility to govern is vested in those closest to the impact of decision making and that such responsibility matches the capacity of those assuming responsibility. The Trust Board has established Local Governing Bodies for each of the Academies, for the most part made up of individuals drawn from the Academy's community, both as elected and appointed members, with an emphasis on appropriate skills and experience.

3.6.2 The Governors serving on such Local Governing Bodies are accountable to the Trust Board (which in turn of course is accountable to the Bishop, the Members and to the Department for Education) as well as to the communities they serve.

3.6.3 Whilst not Directors under charity law, nevertheless, the Governors are under a duty to act in good faith and in the best interests of the Academy and the Trust, which includes all the Academies. This duty includes a responsibility to do the following:

3.6.3.1 to ensure the Academy complies with its legal obligations;

3.6.3.2 to fulfil the charitable object of the Trust;

3.6.3.3 to act with integrity and to avoid any personal conflicts of interest and not to misuse any charitable funds or assets of the Academy or the Trust;

3.6.3.4 to act prudently in the financial management of the Academy, avoiding putting any assets, funds or reputation of the Academy or the Trust at undue risk;

3.6.3.5 to exercise reasonable care and skill, using personal knowledge and experience to ensure the Academy is well run

and efficient;

3.6.3.6 to act responsibly, getting advice from others from within the Trust and if appropriate external professional advisors;

3.6.3.7 to act as an ambassador of the Trust and in a way which is consistent with the vision and ideals of the Trust.

3.6.4 Governors must act impartially and in the best interest of the Academy and the Trust as a whole, even if those interests conflict with those of the body or organisation that might have appointed or nominated them to serve on the Local Governing Body. As with the Directors, the Governors must comply with the Trust's Conflict of Interest Policy.

3.6.4.1 The Board of Directors has established a Chairs Committee, comprising Chairs of the LGBs, the Chair of Directors and the CEO, in order to enhance effective communication between LGBs and Directors. Its terms of reference are included as Appendix 9 in this document.

3.6.5 The specific tasks and responsibilities of the Governors are as follows, with further detail set out in Section 4 of this Scheme of Delegation:

3.6.5.1 to fulfil the vision and ethos of the Trust in so far as it relates to the Academy, ensuring that the Academy achieves the aims and ambitions it has for its pupils, having regard in particular to the benefits of being part of a family of schools which stresses the importance of collaboration and mutual support;

3.6.5.2 to implement and review from time to time the strategic plan for the Academy (including any initial Action Plan), focusing on the Academy's performance and achieving sustained school improvement;

3.6.5.3 to act as a critical friend to the Academy's senior leadership team, being ready to challenge and hold senior leaders to account for all aspects of the Academy's performance;

3.6.5.4 to promote safeguarding of children and place the best interests of children at the centre of their decisions

3.6.5.5 to monitor the management of the finances of the Academy,

reviewing the annual budget prepared by the Academy's Headteacher (with the support of the Academy's senior leadership team) and submitting such for approval by the Trust Board, ensuring that the Academy works within its budget and the Academy's senior leadership team adopts and implements appropriate risk and financial management policies and practices including in particular any adopted by the Trust Board for application across all the Academies;

3.6.5.6 to support the Academy's Headteacher in the development and review (from time to time) of an appropriate staffing structure for the Academy, ensuring there is robust and accountable monitoring of the performance of staff and implementing all and any policies relating to staff adopted by the Trust Board;

3.6.5.7 to support the Trust Board in its monitoring and evaluation of the delivery of any central or shared services and functions provided or procured by the Trust for the Academies, reporting any issues or concerns to the Executive Team and, if necessary, the Chair of the Trust Board;

3.6.5.8 to promote the benefits of collaboration with the other Academies and to actively seek opportunities to work together either with the aim of improving economic efficiencies within the Academies or identifying and implementing best practice;

3.6.5.9 to develop effective links within the Academy's community, communicating openly and frequently as appropriate and ensuring that the Academy meets its responsibilities to the community and serves the community's needs in relation to the safeguarding and education of its pupils; and

3.6.5.10 to engage fully and openly with any inspection of the Academy, whether by the Trust Board, the Diocese, Ofsted or any other appropriate public body to whom the Academy is accountable.

3.6.6 Individual Governors may be given primary responsibility for particular functions such as standards, finance, premises and resources with the view to matching skills and experience to functions. This will not affect

collective and overall individual responsibility but Governors are expected to use their skills and experience in the fulfilment of their duties.

3.6.7 Subject to the provisions of the Companies Act 2006 every member of the Local Governing Body or other officer or auditor of the Trust acting in relation to the Academy shall be indemnified out of the assets of the Trust against any liability incurred by him or her in that capacity in defending any proceedings, whether civil or criminal, in which judgment is given in favour or in which he or she is acquitted (including where there has been an investigation but no formal charges brought) or in connection with any application in which relief is granted to him or her by the court from liability for negligence, default, breach of duty or breach of trust in relation to the affairs of the Trust.

3.6.8 As with the Directors, the following are the core competencies and skills expected of all Governors:

3.6.8.1 to work as a team;

3.6.8.2 to attend meetings and be prepared to contribute to discussions and commit to agreed actions;

3.6.8.3 to be respectful of the views of others and to be open to new ideas and thoughts;

3.6.8.4 to treat all confidential information confidentially;

3.6.8.5 to develop a deep understanding of the vision and ethos of the Trust and its Academies and the roles played by all individuals in fulfilment of the Trust's mission;

3.6.8.6 to understand the policies and procedures of the Trust and how these flow down to the Academy;

3.6.8.7 to support the Trust in public and act as an ambassador of the Trust;

3.6.8.8 to commit to training and skills development

3.6.8.9 to be ready to ask questions;

3.6.8.10 to be focused on problem solving and be ready to learn from past experiences.

- 3.6.9 Specific skills may be needed if a Governor is to take responsibility for and lead on a specific area. A regular skills audit will be undertaken and Governors should expect to be able to articulate their contribution to the success of the Academy.
- 3.6.10 The Trust Board also recognises the role that the Academies play in their communities and the Local Governing Body is free to decide how such support and patronage is given. The Local Governing Body shall ensure that any support is not inconsistent with the objects of the Trust and the restrictions on the use of its charitable resources and any advice or restriction placed on the Trust by either the Diocese or the Secretary of State (including the Charity Commission). The Local Governing Body shall ensure that any formal collaboration or support with third parties, including with those with whom the Trust has a strategic partnership is appropriately documented and the details notified to the Resources and Audit Committee to assess effectiveness and where financial support is provided for accounting reasons.

4. DELEGATED AUTHORITY AND RESPONSIBILITIES OF THE LOCAL GOVERNING BODY

4.1 General Provisions

- 4.1.1 The Trust Board reserves the right (subject to any guidance issued by the Diocesan Schools Department) to review and alter this Scheme of Delegation and the level of delegated responsibility at any time, noting that it is likely to be reviewed for its effectiveness at least every two years. Whilst the Scheme cannot take the form of a legally binding contract and is subject to the overriding duty on the Directors to act freely and in the best interest of the Trust, in so far as the Directors are able they agree to abide by the provisions of it and will consult the Local Governing Bodies on any significant changes.
- 4.1.2 Those to whom delegated responsibility is given must acknowledge the limitations on their authority and must not act outside of their authority. Any willful disregard of the matters expressed in this Scheme is likely to lead to the Scheme being withdrawn. In the event of any serious disagreement between the Trust Board and a Local Governing Body, which cannot be resolved by the Chair of the Local Governing Body discussing the matter with the Chair of the Trust Board, will be referred to the Diocesan Schools Department for guidance.
- 4.1.3 As a matter of general principle, the Local Governing Body will adopt and will comply with all policies adopted by the Trust Board and will comply with any direction issued by the Trust Board and have regard to any advice given. The Local Governing Body will also comply with any requirements of the Diocese and adopt any guidance issued by it.
- 4.1.4 Subject to the provisions of the Companies Act 2006, the Articles and to any directions given by the Members following a special resolution, the governance and management of an Academy shall be delegated by the Trust Board to the relevant Local Governing Body. The Governors shall exercise all the powers of the Trust Directors in so far as they relate to the Academy, only in accordance with the terms of this Scheme of Delegation and any Trust policy and specific agreement between the Trust Board and the Local Governing Body. No alteration of the Articles or change to the Scheme shall invalidate any prior act of the Local Governing Body which would have been valid if that alteration had not been made. Except as provided for in this

Scheme of Delegation, the powers given by this Scheme shall not be limited by any special power given to the Directors by the Articles or to the Local Governing Body by this Scheme of Delegation and a meeting of the Local Governing Body at which a quorum is present may exercise all the powers so delegated.

4.2 Finance and Audit

4.2.1 Except as provided for in this Scheme of Delegation, in addition to all powers hereby expressly conferred upon the Local Governing Body and without detracting from the generality of the powers delegated, the Local Governing Body shall have the following powers, namely:

4.2.1.1 to enter into contracts on behalf of the Trust in so far as they relate to the Academy and are within the scope of the Local Governing Body's responsibility as set out in the Trust Financial Regulations.

4.2.2 No Trust monies (whether or not authority to expend has been devolved to the Local Governing Body) shall be paid into any bank account other than a bank account authorised by the Trust Board.

4.2.3 The Trust's accounts shall be the responsibility of the Trust Board overseen by the Resources and Audit Committee but the Headteacher of the Academy shall provide such information about the Academy, as often and in such detail and format as the Resources and Audit Committee shall reasonably require, in order to carry out an internal audit of the Academies in accordance with the requirements of the Academy Trust Handbook. Without prejudice to the above, the Director of Finance and Operations shall provide management accounts in an approved format to the Chair of Directors monthly and to the Resources and Audit Committee or Full Directors at least twice per term (six times per year) or as requested by the Resources and Audit Committee. These accounts may be shared with the Diocesan Schools Department (or Diocesan finance office) at their request.

4.2.4 The Local Governing Body shall adhere to the Trust procedures put in place for the safeguarding of funds and that the requirements of the Academy Trust Handbook and the Funding Agreements are observed at all times as well as any requirements and recommendations of the Resources and Audit Committee and the Education and Skills Funding

Agency. For the avoidance of doubt the Local Governing Body acknowledges the restriction on borrowing contained in the Funding Agreements.

4.2.5 The Trust Board acknowledges the Local Governing Body's right and intention to use any voluntary (i.e. non-grant) funds (including any restricted funds) raised by the Academies for the purposes for which they have been raised and otherwise solely at the discretion of the Local Governing Body provided this is within the charitable object of the Trust and has been included in the Academy's budget. Proper accounts will be kept by the Headteacher showing the receipt and use of such funds and the extent to which such funds are restricted, in the light of the obligation on the Trust to note these funds separately in the Trust accounts.

4.2.6 The Trust Board also acknowledges that from time to time the Academies themselves may seek to generate additional funds by undertaking certain activities, such as: lettings, the provision of sporting and recreational facilities, running a nursery and/or providing childcare, teacher training, leadership support, school improvement, providing and supporting ICT and other administrative services. In some cases, and particularly where such activities do not fall within the charitable object of the Trust, these may need to be channeled through a trading subsidiary, decisions about which will be made by the Trust Board. Any income generated from such Academy activities and/or gift aided by a trading subsidiary to the Trust in respect of a particular Academy's activities shall be delegated to the relevant Local Governing Body. Such funds will however be noted separately in the accounts of the Academy and, like all Academies' funds, will be subject to any reserves policy operated by the Trust Board.

4.2.7 The Local Governing Body shall make sufficient funds available to enable the Trust to place such insurance or risk protection cover as is necessary to protect the Academy and the Trust from loss and other risks that shall include (but shall not be limited to) the following, such insurance or risk protection cover to be placed either with a reputable insurance company or through the Education and Skills Funding Agency as directed by the Trust Board and the Diocese:

4.2.7.1 land, buildings and contents;

- 4.2.7.2 public, employer's and hirer's liability;
- 4.2.7.3 Directors' and Governors' liability and professional indemnity;
- 4.2.7.4 personal accident and school journeys;
- 4.2.7.5 terrorism and business interruption;
- 4.2.7.6 money and fidelity;
- 4.2.7.7 legal expenses, libel and slander;
- 4.2.7.8 engineering.

The Local Governing Body will fulfil any requirements of the insurers and will notify the Trust Board as soon as reasonably practicable following the occurrence of an event where loss has been suffered or is threatened. The responsibility for notifying the insurers or the ESFA (as the case may be) is the Headteacher's. The Executive Team and the Headteacher will provide each other with all necessary information and assistance as may be helpful in the management of any claims.

4.3 Curriculum and Standards

- 4.3.1 The Local Governing Body shall be responsible for the setting and review of the curriculum priorities for the Academy but shall have regard to any views of the Trust Board in recognition of the Trust Board's obligation to the Secretary of State to provide a broad and balanced curriculum and to the Diocese in respect of the teaching of RE and more widely.
- 4.3.2 The Local Governing Body shall be responsible for the standards achieved by the Academy and the pupils attending the Academy. The Local Governing Body will support the Head Teacher in the development and implementation of any action plan or school development plan which is to address any weaknesses and areas for improvement of standards and overall attainment at the Academy, but in so doing shall have regard to any advice and recommendations of the Trust Board and the Diocese as they might issue from time to time, particularly in relation to benchmarking and target setting.
- 4.3.3 The Local Governing Body will develop appropriate links with other

local schools or education organisations to promote best practices in learning and development and to facilitate peer to peer review and support. The Local Governing Body will also promote and develop links with local businesses and community organisations which are designed to enrich the school life of pupils and foster citizenship.

4.4 Personnel

4.4.1 Headteacher

4.4.1.1 The formal power to appoint the Headteacher (or Principal or head of school) of each Academy is vested in the Trust Board who must consult with the Diocese and the Local Governing Body. Whenever an appointment is to be made, the Trust Board will establish an appointments committee made up of 2 or 3 persons appointed by the Trust Board (which will include the Chair of the Trust Board and may include a further independent and expert education adviser), an equivalent number of persons nominated by the Local Governing Body and, at the discretion of the Diocesan Schools Department, a person nominated by the Diocesan Bishop. The Trust Board is expected to follow the appointment committee's recommendation for appointment.

4.4.1.2 The Trust Board determine the Individual School Range (ISR, the 7 consecutive point pay range for a Headteacher appointment) for each school, and can review the ISR: upon request from the Local Governing Body subsequent to the annual Headteacher appraisal and performance management process, or; at the time of recruitment of a new Headteacher, or; upon significant material change to the school (e.g. substantially increased Published Admission Number).

4.4.1.3 The appraisal and performance management of the Headteachers will be undertaken by the Local Governing Body, assisted by the CEO. Any disciplinary action, capability or capacity review will be undertaken by the Trust Board.

4.4.1.4 The Trust Board may delegate such powers and functions as

they consider are required by the Headteacher for the internal organisation, management and control of the Academy (including the implementation of all policies approved by the Trust Board for the direction of the teaching and learning and curriculum at the Academy).

4.4.2 Other Staff

4.4.2.1 The Headteacher shall be responsible for the appointment and management of all other staff to be employed at the Academy:

4.4.2.1.1 implement and comply with all policies dealing with staff issued by the Trust Board from time to time;

4.4.2.1.2 seek the recommendation of the CEO with regard to any replacement or new positions;

4.4.2.1.3 take account of any pay terms set by the Trust Board;

4.4.2.1.4 adopt any standard contracts or terms and conditions for the employment of staff issued by the Trust Board;

4.4.2.1.5 adopt appropriate and transparent procedures for the recruitment of staff;

4.4.2.1.6 manage any claims and disputes with staff members having regard to any advice and recommendations given by the Executive Team and/or the Trust's insurers or the ESFA;

4.4.2.1.7 bring to the attention of the Chair of the Trust Board and, if required, the Trust's insurers and/or the ESFA without delay any claims or disputes with staff that may require a hearing by a panel of members of the Local Governing Body where escalation is necessary;

4.4.2.1.8 seek the advice of the Trust Board where any significant cost is contemplated either in relation

to the appointment or resignation of a member of staff, including in relation to ill health retirement or the settlement of any claims or grievances.

4.4.2.2 The Local Governing Body shall carry out or delegate to either the Headteacher and/or an appropriate committee (as appropriate) the performance management of all staff and shall put in place procedures for the proper professional and personal development of staff taking into account any training and support available from or procured by the Executive Team.

4.5 Admissions and Exclusions

4.5.1 The Local Governing Body shall be responsible for the setting and review from time to time of the Academy's admissions policy provided that no material change will be made to the admissions criteria without the approval of the Trust Board and the Diocese where relevant having regard to the statutory duties and responsibilities on the Trust and the Academy.

4.5.2 Any decision to expand the Academy shall be that of the Local Governing Body but who shall have regard to the views of the Trust Board and the Diocese where appropriate.

4.5.3 Any appeal against admissions shall be heard by an independent appeal panel established and authorised by the Local Governing Body.

4.5.4 The Local Governing Body shall consider any decision by the Headteacher to exclude any pupil and will be the appropriate body for reconsidering any decision to readmit required by any independent panel hearing.

4.6 Premises

4.6.1 The day-to-day maintenance and care of the buildings and facilities used in respect of the Academy is the responsibility of the Local Governing Body (with management responsibility being delegated to the Headteacher), who shall have regard at all times to the safety of the users of the buildings and the facilities and the legal obligations of the Trust to the Diocesan Trustees as the legal owner of such buildings and facilities under the Memorandum of Understanding.

- 4.6.2 The Local Governing Body shall work in collaboration with the Executive Team and the Diocese (Buildings and Capital) (or at their request the Trust Board) in developing a long-term estate management strategy that will identify the suitability of building and facilities in light of long-term curriculum needs and the need for and availability of capital investment to meet the Trust's legal responsibility to ensure the buildings and facilities used by each Academy are maintained to a good standard.
- 4.6.3 Short-term lettings and day-to-day uses of the school buildings and playing fields will be the responsibility of the Local Governing Body who shall comply with any policy issued from time to time by the Diocesan Schools Department regarding such uses.
- 4.6.4 The responsibility for any disposals or acquisitions of land to be used by the Academy will be that of the Trust Board, who must seek the approval of the Diocese.

4.7 Community Activities and Community Engagement

- 4.7.1 Whilst the undertaking of any activities which would be described as part of the Academy's "extended schools agenda" or any activities designed to generate business income, will be the responsibility of the Local Governing Body, this shall only be undertaken in a manner consistent with any policy set by the Trust Board and having regard to the viability of such activities, the impact on the Academy's activities and any financial implications, such as the threat of taxation in light of the Trust's charitable objects and any threat to funding provided by the Secretary of State. The financial implications are noted above.
- 4.7.2 The Local Governing Body is responsible for all community engagement and consultation and will acknowledge and reinforce the vision of the Trust in all communications. The importance of building and maintaining good relations with other local schools, businesses and organisations is acknowledged.

5. SUPERVISION AND INTERVENTION - RISK MANAGEMENT

5.1 Supervision and Reporting

5.1.1 Notwithstanding the level of delegated responsibility, the Directors remain legally responsible for all matters in connection with the Academies and they are required to have systems in place through which they can assure themselves of quality, safety and good practice in the Academies.

5.1.2 The Local Governing Body is appointed as a sub-committee of the Trust Board and as such has no separate legal status to that of the Trust or the Trust Board. Consequently, any act or omission of the Local Governing Body or any officer of the Trust or the Academy that subsequently leads to prosecution or other litigation will be a liability of the Directors and any event in an Academy that might lead to public criticism or adverse publicity or damage to the reputation of the Trust will also be concern for the Trust Board. In such events and in order to minimise risk, the Chair of the Local Governing Body or the Headteacher will as soon as reasonably practicable advise the Chair of the Trust Board (and if appropriate a member of the Executive Team). These events will include the following (but shall not exclude any other event that falls within the generality of the circumstances described above):

5.1.2.1 any event leading to loss of life or critical injury on the premises of the academy or during an event off the premises organised or supervised by Academy staff;

5.1.2.2 any sexual or violent or illegal act against a child committed by any adult while on the premises of the Academy or by a member of staff under any circumstances;

5.1.2.3 the suspension or summary dismissal of any member of staff;

5.1.2.4 any event that requires a report to the Health and Safety Executive or that results in the service of a legal notice on the Academy alleging a breach of fire or health or safety law or regulations;

5.1.2.5 any event that requires a formal hearing by a panel of representatives of the Academy or that results in the service

of a legal notice on the Academy alleging a breach of employment law or regulations.

5.2 Intervention and Removal of Delegated Responsibility

- 5.2.1 The Local Governing Body shall work closely with and shall promptly implement any advice or recommendations made by the Trust Board in respect of standards and performance, particularly where areas of weakness have been identified by the Executive Team, Local Authority, the Diocese or Ofsted.
- 5.2.2 In the event that intervention is either formally threatened or is carried out by the Secretary of State, the Trust Board expressly reserves the unfettered right to review or remove any power or responsibility conferred on the Local Governing Body under this Scheme of Delegation in such circumstances. Any Local Governing Body of any Academy which is to be sponsored by the Trust will be dissolved and a transition board put in place, the details of which are referred to below.
- 5.2.3 Notwithstanding the above, the Trust Board and the Local Governing Body acknowledge the value of maintaining a good working relationship particularly in light of the levels of delegated responsibility within the Trust and the impact this may have on the ability of the Trust Board or the Local Governing Body to react when standards are falling and/or there is evidence of financial imprudence exposing the Academy or the Trust to a threat of intervention. The Trust Board and the Local Governing Body in such circumstances make the following commitments to each other:
- 5.2.3.1 to discuss openly any weaknesses or any situation which may in the opinion of either potentially lead to a threat of intervention by the Secretary of State;
 - 5.2.3.2 to use all reasonable endeavours to agree the measures to be taken to improve standards and the performance of the Academy and to support each other in the implementation of those measures;
 - 5.2.3.3 to allow each other the opportunity to effect improvements at the Academy provided such steps do not seek to undermine the collaborative and respectful approach being adopted by each;

- 5.2.3.4 not to remove or deny delegated authority without first agreeing to put in place for an appropriate period of time a transition or improvement board whose responsibility it will be to address the areas of weakness, the terms of reference for such “**Intervention Board**” being set out in Appendix 11 to this Scheme;
- 5.2.3.5 not as the Trust Board to exercise any power to remove a Headteacher or to attend and vote at any meeting of the Local Governing Body at which this is to be discussed without first discussing with the Chair of the Local Governing Body the need to ensure that such power is being exercised appropriately and proportionately.

6. FUNCTIONING OF THE LOCAL GOVERNING BODIES

6.1 Constitution of the Local Governing Body

6.1.1 A Local Governing Body shall be established for each of the Academies typically comprising of at least 8 Governors as follows:

6.1.1.1 the **Headteacher** of the Academy (where a school has both an Executive Headteacher and a Head of School then only the most senior of either of the two present at a Full Governing Body meeting or a LGB Subcommittee meeting has the 'Headteacher' voting eligibility);

6.1.1.2 up to 2 "**Staff Governors**", elected or appointed through such process as the Local Governing Body may determine;

6.1.1.3 up to 2 "**Parent Governors**", elected by parents or carers of registered pupils at the Academy and being a parent or carer of a pupil at the Academy at the time when elected (N.B. for it to be acceptable to plan to have less than 2 Parent Governors on any LGB will require 2 Parent Directors to be appointed to the Trust Board);

and such number of "**Foundation Governors**" appointed by the Diocesan Bishop to ensure that the number of Foundation Governors outnumbers all other Governors (including Co-opted Governors) by at least 2.

A minimum could be 4 Foundation Governors, 1 Headteacher and potentially 1 Parent Governor, however at this size of LGB it is possible that roles, accountability and strategic planning would be difficult to fulfil. A better composition would be 7 Foundation Governors, 1 Headteacher, 2 Parent Governors and 2 further Governors, at least one being a Staff Governor and the second either a Co-opted Governor or a second Staff Governor. Each LGB should review its own skills and needs to find a suitable composition and number of Governors.

6.1.2 All persons appointed or elected to the Local Governing Body shall give a written undertaking to the Trust Board and the Diocese to uphold the object and mission of the Trust.

6.1.3 The Governors may also appoint "**Co-opted Governors**" from time to time, who will be appointed to provide specific support to the Local

Governing Body and shall serve for a term of up to 3 years decided at the time of appointment.

6.2 Term of office

6.2.1 The term of office for any Governor shall be 4 years, except for any post which is held ex officio. Subject to remaining eligible to be a particular type of Governor, any person may be re-appointed or re-elected to the Local Governing Body. Any Staff Governor who ceases to be employed at the Academy will resign as a Governor.

6.2.2 A Governor shall serve for no more than 2 terms of office, 3 by exception and only with the consent of the Diocesan Bishop and the Diocesan Directors with special regard being made where a Governor's skills are deemed to be of special benefit to the Trust and/or the Academy.

6.3 Resignation and Removal of Governors

6.3.1 A Governor shall cease to hold office if he or she resigns his or her office by notice to the Chair of the Local Governing Body. Any vacancy on a Local Governing Body will trigger an appropriate election or right of appointment. The Chair of the Local Governing Body shall ensure that any vacancies and appointments are notified to the Diocesan Schools Department and the Education and Skills Funding Agency as required by the Funding Agreement.

6.3.2 A Governor shall cease to hold office if he or she is removed by the person or persons who appointed him or her, i.e. the Diocesan Bishop or the Local Governing Body (in the case of Co-opted Governors, Parent Governors and Staff Governors) as the case may be, or in exceptional circumstances by the Trust Board (and in the case of a Foundation Governor only with the approval of the Diocesan Bishop). Whilst at the same time as acknowledging that no reasons need to be given for the removal of a Governor, any failure to uphold the values of the Trust or the Diocese and/or the Academy or to act in a way which is appropriate in light of this Scheme of Delegation, including where the DfE have indicated any concerns as to the suitability of the Governor, will be taken into account. The CEO, the Chair of the relevant LGB and the Chair of Directors shall (when all 3 are in agreement and with the additional approval of the Bishop if a Foundation Governor is to be

suspended, and this agreement has been recorded and is available for the LGB and Diocese to scrutinise) have the power to suspend a Governor, prior to a decision on removal being taken by the person or persons who appointed him or her, i.e. the Diocesan Bishop or the Local Governing Body (in the case of Co-opted Governors, Parent Governors and Staff Governors) as the case may be, or in exceptional circumstances by the Trust Board (and in the case of a Foundation Governor only with the approval of the Diocesan Bishop).

- 6.3.3 The removal of any Parent Governor or Staff Governor will be undertaken only in exceptional circumstances.
- 6.3.4 Where a Governor resigns his or her office or is removed from office, that person or, where he or she is removed from office, those removing him or her, shall give written notice thereof to the Chair of the Local Governing Body.

6.4 Disqualification of Governors

- 6.4.1 A person serving on the Local Governing Body shall cease to hold office if he or she becomes incapable by reason of illness or injury of managing or administering his or her own affairs.
- 6.4.2 A person serving on the Local Governing Body shall cease to hold office if he or she is absent without the permission of the Chair from all the meetings of the Local Governing Body held within a period of six months and the Local Governing Body resolves that his or her office be vacated. A Governor may be suspended from office at the discretion of a combined agreed decision, recorded in writing, by the Chair of the Local Governing Body, the CEO and the Chair of the Trust Board with the additional approval required of the Bishop if the Governor is a Foundation Governor.
- 6.4.3 A person shall be disqualified from serving on the Local Governing Body if:
 - 6.4.3.1 his or her estate has been sequestrated and the sequestration has not been discharged, annulled or reduced;
 - or

- 6.4.3.2 he or she is the subject of a bankruptcy restrictions order or an interim order.
- 6.4.4 A person shall be disqualified from serving on the Local Governing Body at any time when he or she is subject to a disqualification order or a disqualification undertaking under Trust Directors Disqualification Act 1986 or to an order made under section 429(2)(b) of the Insolvency Act 1986 (failure to pay under county court administration order).
- 6.4.5 A person serving on the Local Governing Body shall cease to hold office if he or she would cease to be a Director by virtue of any provision in the Companies Act 2006 or is disqualified from acting as a Director by virtue of section 178 of the Charities Act 2011 (or any statutory re-enactment or modification of that provision).
- 6.4.6 A person shall be disqualified from serving on the Local Governing Body if:
- 6.4.6.1 he or she has been removed from the office of charity Director or director of a charity by an order made by the Charity Commission or the High Court on the grounds of any misconduct or mismanagement in the administration of the charity for which he or she was responsible or to which he or she was privy, or which he or she by his or her conduct contributed to or facilitated;
- 6.4.6.2 or has been deemed unsuitable to be a governor or Director of an academy by the Secretary of State.
- 6.4.7 A person shall be disqualified from serving on the Local Governing Body where he or she has, at any time, been convicted of any criminal offence, excluding any that have been spent under the Rehabilitation of Offenders Act 1974 as amended, and excluding any offence for which the maximum sentence is a fine or a lesser sentence except where a person has been convicted of any offence which falls under section 178 of the Charities Act 2011.
- 6.4.8 Where, by virtue of this Scheme of Delegation, a person becomes disqualified from serving on the Local Governing Body, and he or she was, or was proposed, to so serve, he or she shall upon becoming so

disqualified give written notice of that fact to the Chair of the Local Governing Body.

6.5 Appointment of the Chair and Vice-Chair

6.5.1 The Governors shall each school year, at their first meeting in that year, elect a Chair from amongst the Foundation Governors.

6.5.2 The role of the Chair of the Local Governing Body is an important one, demanding yet worthwhile. The Chair is elected by the Governors annually but because of the vital link and the importance of maintaining a strong and effective relationship between the Local Governing Body and the Trust Board, the appointment following election is subject to the approval of the Trust Board and subject to any further requirements of the Diocese. The Chair must be approachable, readily accessible and ready to take issues and ideas raised by Governors seriously.

6.5.3 The Chair's specific duties are to:

6.5.3.1 provide a clear lead and direction for the Governors, understanding the aims of the Academy, the roles played by all those involved and the vision of the Trust in relation to its Academies;

6.5.3.2 build an effective team, attracting Governors with necessary skills and experience promoting equality and diversity and ensuring priority is given to those who can make a positive contribution to driving school improvement and supporting their development to maximise the benefit of their contribution;

6.5.3.3 work closely with the Headteacher and the Chair of the Trust Board to ensure there is proper challenge and encouragement;

6.5.3.4 ensure that school improvement is the focus of all policy and strategy for the Academy, reminding Governors of this as often as necessary;

6.5.3.5 hold Governors to account, ensuring the business of the Local Governing Body is conducted efficiently and effectively,

chairing meetings ensuring all members have the opportunity to contribute and are listened to with clear decisions being made when necessary.

- 6.5.4 The Chair or Vice-Chair may at any time resign his or her office by giving notice in writing to the Local Governing Body. The Chair or Vice-Chair shall cease to hold office if:
- 6.5.4.1 he or she ceases to serve on the Local Governing Body;
 - 6.5.4.2 he or she is employed by the Trust whether or not at the Academy;
 - 6.5.4.3 he or she is removed from office in accordance with this Scheme of Delegation; or
 - 6.5.4.4 in the case of the Vice-Chair, he or she is elected in accordance with this Scheme of Delegation to fill a vacancy in the office of the Chair.
- 6.5.5 Where by reason of any of the matters referred to in paragraph 6.5.4, a vacancy arises in the office of Chair or Vice-Chair, the members of the Local Governing Body shall at its next meeting elect one of their number to fill that vacancy.
- 6.5.6 Where the Chair is absent from any meeting or there is at the time a vacancy in the office of the Chair, the Vice-Chair shall act as the Chair for the purposes of the meeting.
- 6.5.7 Where in the circumstances referred to in paragraph 6.5.6. the Vice-Chair is also absent from the meeting or there is at the time a vacancy in the office of Vice-Chair, the members of the Local Governing Body shall elect one of their number to act as a chair for the purposes of that meeting.
- 6.5.8 The clerk to the Local Governing Body shall act as chair during that part of any meeting at which the Chair is elected.
- 6.5.9 Any election of the Chair or Vice-Chair which is contested shall be held by secret ballot.

- 6.5.10 The Chair or Vice-Chair may be removed from office by the Trust Board at any time, subject to the wishes of the Bishop.

6.6 Committees and Further Delegation

- 6.6.1 Subject to this Scheme of Delegation, the Local Governing Body may establish any subcommittee or working group to advise and inform the Governors to support them in their decision making.
- 6.6.2 Provided such power or function has been delegated to the Local Governing Body, the Local Governing Body may further delegate to the Headteacher or any other holder of an executive or leadership post, such of their powers or functions as they consider desirable to be exercised by them. Any such delegation may be made subject to any conditions or requirements the Trust Board may impose and shall be consistent with any policy or statement of recommended practice issued from time to time by the Trust Board and may be revoked or altered by the Local Governing Body or the Trust Board.
- 6.6.3 Where any power or function of the Trust Board or the Local Governing Body is exercised by another, that person or body shall report as required to those delegating responsibility in respect of any action taken or decision made with respect to the exercise of that power.

6.7 Meetings

- 6.7.1 The minutes of the proceedings of a meeting of the Local Governing Body shall be drawn up and entered into a book (electronic or otherwise) kept for the purpose by the person authorised to keep the minutes of the Local Governing Body and shall be signed (subject to the approval of the members of the Local Governing Body) at the same or next subsequent meeting by the person acting as chair thereof. The minutes shall include a record of:
- 6.7.1.1 all appointments of officers made by the Local Governing Body; and
- 6.7.1.2 all proceedings at meetings of the Local Governing Body and of committees of the Local Governing Body including the names of all persons present at each such meeting.

- 6.7.2 The Chair shall ensure that copies of minutes of all meeting of the Local Governing Body (and such of the subcommittees and working groups as the Local Governing Body shall from time to time notify) shall be provided to the Chair of the Trust Board (and if required to the Diocese) as soon as reasonably practicable after those minutes are approved.
- 6.7.3 Subject to this Scheme of Delegation, the Local Governing Body may regulate its proceedings as the Governors think fit, provided at all times that there is openness and transparency in matters relating to the Local Governing Body. The Local Governing Body is expected to meet at least once a term but may meet more often if felt appropriate or if requested to meet by the Trust Board.
- 6.7.4 Meetings of the Local Governing Body shall be convened by the clerk to the Local Governing Body. In exercising his or her functions under this Scheme of Delegation the clerk shall comply with any direction:
- 6.7.4.1 given by the Local Governing Body; or
- 6.7.4.2 given by the Chair or, in his or her absence or where there is a vacancy in the office of Chair, the Vice-Chair.
- 6.7.5 Any three Governors may, by notice in writing given to the clerk, requisition a meeting of the Local Governing Body; and it shall be the duty of the clerk to convene such a meeting as soon as is reasonably practicable.
- 6.7.6 Each Governor shall be given at least seven clear days before the date of a meeting:
- 6.7.6.1 notice in writing thereof, signed by the secretary, and sent to each Governor at the address provided by each member from time to time; and
- 6.7.6.2 a copy of the agenda for the meeting;
- provided that where the Chair or, in the Chair's absence or where there is a vacancy in the office of Chair, the Vice-Chair, so determines on the ground that there are matters demanding urgent consideration, it shall be sufficient if the written notice of a meeting, and the copy of the agenda thereof are given within such shorter period as directed.
- 6.7.7 The convening of a meeting and the proceedings conducted thereat

shall not be invalidated by reason of any individual not having received written notice of the meeting or a copy of the agenda thereof.

6.7.8 A resolution to rescind or vary a resolution carried at a previous meeting of the Local Governing Body shall not be proposed at a meeting of the Local Governing Body unless the consideration of the rescission or variation of the previous resolution is a specific item of business on the agenda for that meeting.

6.7.9 A meeting of the Local Governing Body shall be terminated forthwith if:

6.7.9.1 the Governors so resolve; or

6.7.9.2 the number of Governors present ceases to constitute a quorum for a meeting of the Local Governing Body in accordance with paragraph 6.9, subject to paragraph 6.11.

6.7.10 Where in accordance with paragraph 6.7.9 a meeting is not held or is terminated before all the matters specified as items of business on the agenda for the meeting have been disposed of, a further meeting shall be convened by the secretary as soon as is reasonably practicable, but in any event within seven days of the date on which the meeting was originally to be held or was so terminated.

6.7.11 Where the Local Governing Body resolves in accordance with paragraph 6.7.10 to adjourn a meeting before all the items of business on the agenda have been disposed of, the Local Governing Body shall before doing so determine the time and date at which a further meeting is to be held for the purposes of completing the consideration of those items, and it shall direct the clerk to convene a meeting accordingly.

6.8 Quorum for meetings

6.8.1 Subject to paragraph 6.8.3, the quorum for a Committee meeting of the Local Governing Body, and any vote on any matter thereat, shall be three, and for a meeting of the whole the Local Governing Body it shall be five, the majority of whom shall be Foundation Governors.

6.8.2 The Local Governing Body may act notwithstanding any vacancies on its board, but, if the numbers of persons serving is less than the number fixed as the quorum, the continuing persons may act only for the

purpose of filling vacancies or of calling a general meeting.

- 6.8.3 The quorum for the purposes of any vote on the removal of a person in accordance with this Scheme of Delegation shall be any two-thirds (rounded up to a whole number) of the persons who are at the time persons entitled to vote on the matter.
- 6.8.4 Subject to this Scheme of Delegation, every question to be decided at a meeting of the Local Governing Body shall be determined by a majority of the votes of the persons present and entitled to vote on the question. Every Governor shall have one vote.
- 6.8.5 Subject to paragraphs 6.8.6 – 6.8.8, where there is an equal division of votes, the Chair shall have a casting vote in addition to any other vote he or she may have.
- 6.8.6 The proceedings of the Local Governing Body shall not be invalidated by:
- 6.8.6.1 any vacancy on the board; or
 - 6.8.6.2 any defect in the election, appointment or nomination of any Governor.
- 6.8.7 A resolution in writing, signed by all the persons entitled to receive notice of a meeting of the Local Governing Body, shall be valid and effective as if it had been passed at a meeting of the Local Governing Body duly convened and held. Such a resolution may consist of several documents in the same form, each signed by one or more of the Governors and may include an electronic communication by or on behalf of the member indicating his or her agreement to the form of resolution providing that the member has previously notified the Local Governing Body in writing of the email address or addresses which the Governor will use.
- 6.8.8 Subject to paragraph 6.8.9, the Local Governing Body shall ensure that a copy of:
- 6.8.8.1 the agenda for every meeting of the Local Governing Body;
 - 6.8.8.2 the draft minutes of every such meeting, if they have been approved by the person acting as chair of that meeting;
 - 6.8.8.3 the signed minutes of every such meeting; and

6.8.8.4 any report, document or other paper considered at any such meeting,

are, as soon as is reasonably practicable, made available at the Academy to persons wishing to inspect them.

6.8.9 There may be excluded from any item required to be made available in pursuance of paragraph 6.8.8, any material relating to:

6.8.9.1 a named teacher or other person employed, or proposed to be employed, at the Academy or the Trust;

6.8.9.2 a named pupil at, or candidate for admission to, the Academy; and

6.8.9.3 any matter which, by reason of its nature, the Local Governing Body is satisfied should remain confidential.

6.8.10 Any Governor shall be able to participate in meetings of the Local Governing Body by telephone or video conference provided that:

6.8.10.1 he or she has given notice of his or her intention to do so detailing the telephone number on which he or she can be reached and/or appropriate details of the video conference suite from which he or she shall be taking part at the time of the meeting at least 48 hours before the meeting; and

6.8.10.2 the Local Governing Body has access to the appropriate equipment, and

if after all reasonable efforts it does not prove possible for the person to participate by telephone or video conference the meeting may still proceed with its business provided it is otherwise quorate.

6.9 Notices

6.9.1 Any notice or communication to be given to or by any person pursuant to this Scheme of Delegation shall be in writing or shall be given using electronic communications to an address for the time being notified for that purpose to the person giving the notice or communication. In this Scheme of Delegation, "address" in relation to electronic communications, includes a number or address used for the purposes of such communications.

- 6.9.2 A Governor present, either in person or by proxy, at any meeting of the Local Governing Body shall be deemed to have received notice of the meeting and, where necessary, of the purposes for which it was called.
- 6.9.3 Proof that an envelope containing a notice was properly addressed, prepaid and posted shall be conclusive evidence that the notice was given. Proof that a notice or communication contained in an electronic communication was sent in accordance with guidance issued by the Institute of Chartered Secretaries and Administrators shall be conclusive evidence that the notice was given. A notice shall be deemed to be given at the expiration of 48 hours after the envelope containing it was posted or, in the case of a notice contained in an electronic communication, at the expiration of 48 hours after the time it was sent.

APPENDIX 1

DIOCESAN MEMORANDUM OF UNDERSTANDING



Memorandum of Understanding – Cluster Multi Academy Trusts

4th November 2015

Background

The task of the Catholic school or academy “is fundamentally a synthesis of culture and faith, and a synthesis of faith and life: the first is reached by integrating all different aspects of human knowledge through the subjects taught, in the light of the Gospel; the second in the growth of the virtues characteristic of the Christian.”

(The Catholic School, Rome, 1977)

Within this context Catholic education is structured around three core principles:

1. The education of the whole child as a unique individual created in the image of God
2. The search for excellence as an integral part of the spiritual quest
3. The education of all with the particular duty to care for the poor and disadvantaged.

In light of the significant changes affecting Catholic schools in the Diocese and nationally brought about by the Academies Act 2010, the Bishop of East Anglia and the Diocesan Trustees together with the schools have set out in this Memorandum of Understanding their commitments to each other to ensure that the schools continue (irrespective of any change in status from voluntary aided to academy) to be conducted as Catholic schools. This means in accordance with the Code of Canon Law of the Latin Church from time to time and the doctrinal, social and moral teachings of the Catholic Church from time to time and following the directives and policies issued by the Diocesan Bishop to ensure that the formation, governance and education of the schools are

based on the principles of Catholic doctrine, and at all times serving as a witness to the Catholic faith in Our Lord Jesus Christ.

This Memorandum of Understanding serves as a restatement of the purpose of the Diocesan Trust and the schools' Catholic distinctiveness, acknowledging that:

- A. The schools shall be conducted in accordance with the terms of the trust of the Diocese of East Anglia.
- B. Each schools' admission policy must be subject to any and all statutory requirements and common law (as the same are in force from time to time) and comply with the Diocesan Policy on Admissions.
- C. The provision of religious education and collective worship will be undertaken in accordance with the teachings, rites and liturgical norms of the Catholic Church and will be subject to the authority and direction of the Diocesan Bishop as articulated from time to time by the Diocesan Schools Department.
- D. Land held by the Diocesan Trustees is used by the schools at the discretion of the Bishop and must be used for purposes which are consistent with the objects of the Diocesan Trust.

Changes to this Memorandum of Understanding will be made by the Bishop at any time and at his discretion. It is issued as a directive of the Bishop and as such it is binding on the schools and any cluster multi academy trust established by the Bishop and must be complied with.

Section A: Purpose

This Memorandum of Understanding (MoU) sets out the understandings and conditions that apply to the formation of a cluster Multi Academy Trust (MAT). It identifies the catholic distinctiveness that must apply to the academies within the MAT and identifies the commitments that must be made to secure the success of the MAT and its constituent schools by the MAT Trust Board, the Diocesan Trustees and the Diocesan Schools Department in providing support and having the necessary oversight of the MATs established in the Diocese. All these organisations or bodies have a duty to operate within the guidance of the Bishop of East Anglia and it is acknowledged that the Bishop is the primary authority for determining the membership of the respective boards of governance.

In order to exemplify the respective roles and responsibilities of various parties associated with the successful operation of the MAT a 'Responsibility, Accountability, Consultation and Information (RACI) Chart' has been drawn up and is attached as Appendix A. All parties will be expected to

commit its best endeavours to make sure that those aspects within its remit and control are delivered in a complete and timely manner.

Section B: Catholic Distinctiveness

Set out below are the characteristics of catholic distinctiveness which must be evident in any school that is within the responsibilities of the MAT, which characteristics are intended to mirror those applicable to voluntary aided Catholic schools.

1. Each school in the MAT must be conducted in accordance with the principles, practices and tenets of the Catholic Church and all Catholic canon law applying thereto including the terms of the trust of the Diocese of East Anglia, so that at all times the school may serve as a witness to the Catholic faith in Our Lord Jesus Christ. The establishment of Catholic schools in the Diocese is a matter for the sole discretion of the Bishop of East Anglia.
2. Each school in the MAT (as with all Catholic schools in the Diocese) is primarily provided for the education of persons who are baptised members of the Catholic Church and the admittance of any other persons must be made only in conformity with the respective academy's admissions policy approved by the Bishop and any direction issued by the Bishop in respect of the same.
3. Each school in the MAT must provide religious education and collective worship in accordance with the teachings, rites and liturgical norms of the Catholic Church and will be subject to the authority and direction of the Bishop of East Anglia. The key areas of the distinctive nature of catholic education are recorded in 'Principles, Practices and Concerns' as supplemented by 'The Common Good in Education' (Bishops Conference 1996), focusing particularly on the search for excellence as an integral part of the spiritual quest within oneself and within the wider community.
4. Each school in the MAT is part of a wider family of schools in the Diocese of East Anglia, with particular emphasis on the mutual support and protection of the schools within the cluster MAT.

Section C: Commitments made by the Bishop and the Diocesan Trustees

Catholic Character

1. The Bishop of East Anglia will continue to support the development of a strong Catholic ethos within schools which are part of the cluster MAT, consistent with all schools in the Diocese. Whilst the Schools Service Commission has been given responsibility for securing this mission, the Diocesan Schools Department has been tasked by the Bishop to fulfil this purpose on his behalf. The Schools Department will seek to encourage,

promote and support a collective voice on national issues affecting all schools in the Diocese and will help to communicate concerns and needs to the range of bodies with the responsibility for the funding and regulation of schools.

2. The Bishop of East Anglia acting through the Diocesan Schools Department will provide guidance on the teachings of the Catholic Church in order to support the teaching of others.

Governance and Management Support

3. The Bishop will ensure that any directive issued by him will be in writing and be clearly communicated to the Chair of the MAT.
4. The Diocese will provide support to the MAT through its Diocesan Schools Department. Care will always be taken to identify when such support is part of the intrinsic nature of the Schools Service and those occasions when the support is so specialist in its nature that the Diocese will seek a recovery of any costs incurred, which will not be unreasonable and wherever possible will be agreed in advance.
5. The Director of the Diocesan Schools Service will continue to support regular meetings of representatives of all the Catholic schools in the Diocese to encourage the transfer of knowledge and the sharing of best practice.
6. The Bishop will seek in so far as he is able to ensure that high quality committed, skilled individuals are put forward as foundation directors of the MAT and governors of the local governing committees established for each of the academies in the cluster MAT. He will make sure there are arrangements to monitor the contribution made by such appointees and will remove individuals in the event of misconduct and/or a failure to support the high ideals of the MAT and the Bishop together.
7. The Diocesan Schools Department can ask the MAT board for information in respect of the governance and management of the MAT and the academies for which the MAT is responsible. The Director of Diocesan Schools Service will endeavour to make sure such requests are necessary and proportionate to the role and responsibilities of the Service (including its oversight role) as determined by the Bishop and the Diocesan Trustees.

Land, Buildings and Capital Expenditure

8. The land on which the schools that form part of the MAT operate is owned by the East Anglia Roman Catholic Diocese Trustee (the Diocesan Trustee) and as such neither the land nor the buildings constructed thereon are formally assets of the MAT. The Church Supplemental Agreement, which is put in place when each school joins the MAT, recognises the occupation and use of the school site by the MAT for the particular Catholic school as a bare licensee and that the right of the Diocesan Trustee to terminate the MAT's use of the site is subject only to the giving by the Diocesan Trustee of 2 years' notice. Both

the Diocesan Trustee and the MAT will have regard to any guidance issued by the Catholic Education Service as to the question of whether the land and buildings should be recognised as an asset on the balance sheet of the MAT.

9. No significant capital works will be carried out on any school site by the MAT (or its schools) without the prior written consent of the Diocesan Trustee, which consent will not be unreasonably withheld provided that there is clear evidence that there are funds readily available to the MAT to undertake all of the work and that any capital works comply with any Diocesan policy on capital works to school sites issued from time to time. Confirmation of approval will be given by the Director of the Schools Service after consulting with the Diocesan Financial Secretary.
10. In so far as the Diocesan Trust is able, taking into account other competing requests from schools in the Diocese, it will support the MAT in any specific grant application to the Secretary of State and will provide advice and support in the procurement of capital works. For the avoidance of doubt the Diocesan Trustee cannot be relied on to provide any form of financial guarantees or assume any financial responsibilities in respect of such works.

Section D: Oversight by the Bishop

Role and Responsibilities of the Diocesan Schools Department

1. The Bishop has given responsibility to the Schools Department to provide support and challenge to the MATs. This is in recognition of the role of the Bishop as the appropriate diocesan authority in overseeing the Catholic schools in the Diocese reflected in canon law and recognised both in the Education Acts and the Church Supplemental Agreement. This role is wider than the role of the Bishop as the principal and founding Member of the MAT and is crucial to the preservation of the religious character of the schools and the fulfilment of the objects of the MAT. The Schools Department may be assisted by a strategic advisory board established by the Bishop to support the Director of the Schools Service in overseeing the MATs and in developing and sharing best practice.
2. The Schools Department shall assist the Bishop and the Diocesan Trustees in monitoring the educational and business management performance of all MATs in the Diocese, advising the Bishop, the Schools Service Commission and the Diocesan Trustees of any concerns regarding the performance of any MAT and in particular the effectiveness of the MAT's Board of Directors (the "Trust Board").
3. Specifically, the Director of the Schools Service has the authority to seek from the MAT Trust Board any information or assurance it believes necessary and appropriate (about the MAT and/or the schools for which the MAT is responsible) to undertake its role whether on a regular or random basis. The MAT Trust Board will provide to the Director of the Schools Service regular, high-level reports regarding the educational and financial performance of

the schools and any risks likely to affect the schools or the MAT as a whole to enable the Schools Department to:

- a. measure the performance of the Catholic schools across the Diocese;
 - b. identify when additional support is needed by a MAT;
 - c. facilitate the provision of support to a MAT or any of its schools whether from another MAT, another Catholic school in the Diocese or any third-party agencies;
 - d. identify and share best practice across the Diocese, both in terms of school performance and the efficient and proper running of the MAT itself;
 - e. ensure that when the MAT is established the Directors of the MAT fully understand their responsibilities and together have the full range of skills and experience needed;
 - f. review on a periodic basis the skills and experience of the MAT Directors, advising the Bishop and making recommendations as may be necessary as to the appointment and in some cases replacement of those serving as foundation directors;
 - g. develop and deliver a programme of training for those who serve as foundation Directors where it has been identified that this might best be done on a diocesan wide basis rather than within an individual MAT.
4. Where the Director of the Schools Service believes educational or business management standards within a MAT are below those that have been identified as consistent with the standards and aspirations identified by the Bishop and the Diocesan Trustees, including as a minimum in circumstances when the Secretary of State for Education has identified serious weakness and an intention to serve a warning notice on the MAT or when a complaint has been received by the Bishop, the Director will make recommendations to the Bishop as to any action that should be taken including:
- a. whether any matter needs further investigation or follow up;
 - b. whether any independent review is required;
 - c. whether the Bishop is advised to take direct action in relation to the MAT or any school; and
 - d. whether any approach needs to be made to the Secretary of State under the terms of the Church Supplemental Agreement.

Principles and specifics of the relationship between the Diocese and the MAT Trust Board

5. Notwithstanding the high-level oversight role undertaken by the Diocesan Schools Department, the relationship between the Diocese and the MAT Trust Board should be based on one of mutual respect and appropriate question and challenge in an open and transparent manner consistent with the Catholic nature of the organisations. It is expected

that both organisations will subscribe to the Seven Principles of Public Life identified by the Nolan Committee and detailed in Appendix B of this document.

6. Information provided to the Schools Department by the MAT Trust Board will be acknowledged and the Director of the Schools Service will share any advice he or she provides to the Bishop regarding any specific matter involving the MAT with the relevant MAT Trust Board except in so far as the Director considers any such advice should be kept confidential.
7. The Director of the Schools Service should be willing and able to provide advice and guidance to the MAT Trust Board outside of any formal reporting regime.
8. It is recognised that good practice would be for representatives of each MAT Trust Board, e.g. the Chair, to meet at least once annually to ensure there is both dialogue and data exchanged between them and the Diocesan Schools Department will facilitate this.
9. Any costs properly incurred by the Bishop in the exercise of his role overseeing the MAT and the schools (whether or not at the request of the Diocesan Schools Department and whether recovered on a pooled basis or as an individual contribution) will be met as an expense by the MAT, which will not be unreasonable and wherever possible will be agreed in advance.

Section E: Commitments made by the MAT Trust Board

Catholic Character

1. The MAT Trust Board will make sure both it and the local school governing bodies maintain and develop the religious character as Catholic schools and no alteration shall be made to the religious character or conduct of the school without the consent of the Bishop of East Anglia, who shall be advised by the Diocesan Schools Department.
2. The MAT Trust Board and the schools for which it is responsible will submit to the canonical inspections and visitations of the Bishop of East Anglia and any person appointed by him for the purpose of monitoring both the Catholic life of the MAT and its schools and the way they operate, ensuring this is in accordance with canon law and following the practices and teachings of the Catholic Church. The MAT Trust Board and the local governing bodies are expected to observe good governance and promote a high-quality education, particularly in the light of the additional responsibilities and freedoms afforded to academy trusts and the schools within their control by the State.

Governance and Management

3. The MAT Trust Board must comply with, and must ensure processes are in place to ensure all governors and employees of the MAT comply with, all directives issued by the Bishop

of East Anglia including this Memorandum of Understanding, giving effect to the matters addressed within it.

4. The MAT Trust Board will adopt, in so far as they are legally able to, all policies and practices as communicated by the Diocesan Schools Department on behalf of the Bishop from time to time. Any exception to this must be agreed in writing with the Diocesan Director of Schools Service.
5. The MAT Trust Board should be open about decisions affecting the schools for which it is responsible and be prepared to justify those decisions except in so far as the Trust Board considers that such matter should be kept confidential. In the unlikely event of a conflict of loyalty, given the fundamental purpose for which the MAT has been established, guidance will be sought by the Bishop of East Anglia from the Archbishop of Westminster as head of the Catholic Church in England and Wales, with the support of the Catholic Education Service.
6. The MAT Trust Board will, along with any local governing committees, consider on an ongoing basis the need for training and skills development as well as considering succession planning. They shall work with the Diocesan Schools Department and consider any advice or opinions of the Diocesan Director of Schools Service with regard to leadership, governance and the standards of teaching and learning in particular. The MAT Trust Board acknowledges the Bishop of East Anglia's right to appoint Foundation Directors to the MAT Trust Board and Foundation Governors to any local governing bodies or committees. In so doing the Bishop will consider any recommendations made by the Diocesan Schools Department, particularly where there is a need to secure specific skills on to those bodies.
7. The MAT Trust Board will not implement any proposals that affect the status or size of the MAT or its constituent schools without the written consent of the Bishop.
8. On incorporation, the MAT Trust Board will be expected to adopt the model Scheme of Delegation developed and issued by the Strategic Board from time to time dealing with the governance regime within the MAT. The MAT Trust Board must have the express authority of the Bishop in writing to create a local governing body or committee for any school joining the MAT consistent with the Scheme of Delegation. Any material changes which the MAT Trust Board wish to make to the Scheme of Delegation shall first be discussed with the Schools Department, whose approval must be obtained where any changes impact materially on the principles of delegation, the nature of the relationship between the MAT Trust Board and the local governing bodies, the composition of the local governing bodies (and the appointment of Foundation Governors by the Bishop) and the key responsibilities delegated to the local governing bodies. The Diocesan Schools Department will have regard to any views of any local governing body that is affected by a change.

9. The MAT Trust Board and any local governing committees shall provide the Diocesan Schools Department with copies of all board/committee meetings should they be requested.
10. The MAT Trust Board shall provide the Diocesan Schools Department with copies of any returns provided to the Education Funding Agency (or any other body set up by the Secretary of State to oversee the finances of schools).
11. The MAT Trust Board shall provide the Diocesan Schools Department with copies of any Annual Report and the audited Annual Accounts for the MAT.
12. The MAT Trust Board shall ensure that it has systems in place for the proper conduct and oversight of the management of the Trust and the leadership of the schools, having regard in particular to the Trust's legal responsibilities as a public and corporate body which is regulated by the Secretary of State for Education. The MAT is obliged under the Academy Trust Handbook to appoint persons who will fulfil the role of "Accounting Officer" and "Chief Financial Officer" (as defined within the Academy Trust Handbook) and the MAT Trust Board will consider carefully who is to fulfil these roles, ensuring that all legal requirements are satisfied and having regard to any advice offered generally or specifically by the Strategic Board. The Accounting Officer is expected to have experience of leadership of a Catholic school and the Chief Financial Officer must have an appropriate financial qualification (or be working towards one). The MAT Trust Board is also encouraged to appoint an appropriately qualified Company Secretary or Clerk who can advise them on corporate governance and compliance.

Financial Management

13. The MAT Trust Board has full responsibility for managing the financial affairs of the MAT including all the constituent schools. Whilst they may delegate day to day financial authority to the school's headteacher and governance oversight to the local governing body, ultimate responsibility remains with the MAT Trust Board. In exercising this responsibility, they are expected to fully comply with any instructions, guidance and best practice identified from time to time by the Education Funding Agency and the professional standards identified by the Accounting Standards bodies relevant to the MAT. No guarantees or assurances in respect of the financial affairs of the MAT will be provided by the Bishop or the East Anglia Roman Catholic Diocese Trustee.
14. Whilst the overall strategic report of the MAT's activities to the Bishop (to be provided to the Diocesan Director of the Schools Service) will address financial risks, should the MAT Trust Board identify through its own regular monitoring of the work of the local governing committees that there is likely to be the need to address financial issues not previously identified in the schools' and MAT's annual budget and/or plan then it is expected to bring these matters to the attention of the Diocesan Schools Department who will consult with

the other Members of the MAT with the view to discussing with the MAT Trust Board what action will be taken to avoid any long-term threat to the MAT and the schools for which it is responsible.

Land, Buildings and Capital Expenditure

15. In addition to the responsibilities that the MAT has to the Bishop and the Diocesan Trustee in respect of the land and buildings used by the schools outlined in this Memorandum of Understanding, the MAT Trust Board and the local governing bodies will ensure that at all times any land and buildings used or occupied by the MAT (or its schools) is not used for purposes which would not be consistent with the teachings and practices of the Catholic Church and any lettings of land or buildings shall be in accordance with any policy issued from time to time by the Bishop.
16. The MAT Trust Board will develop an estate strategy in conjunction with the local governing bodies and in consultation with the Diocesan Schools Department that will identify the suitability of facilities on a school by school basis in the light of long-term curriculum needs, estate condition and any other demands. This strategy should be appropriately reflected in the MAT business and financial plans and budgets.
17. The MAT Trust Board will comply with and will ensure compliance by its constituent schools with the obligations of the Church Supplemental Agreement and where insurance is not in fact put in place by the Diocesan Trustee, the MAT Trust Board will insure the school sites only with insurers approved by the Diocesan Financial Secretary on behalf of the Bishop, which may include the EFA's "Risk Protection Arrangements" scheme. The MAT Trust Board will obtain professional advice on the reinstatement value when such insurance is being renewed and will ensure that any insurance is taken out or risk protection cover arranged (in joint names with the Diocesan Trustee or ensuring that full cover is extended to the Diocesan Trustee) in the full reinstatement value and will make up any shortfall from its own funds.

Admissions

18. The MAT Trust Board will not determine any changes to the admissions criteria of any of its schools without the written consent of the Bishop of East Anglia.

Employment of Senior Staff and Key Teaching Staff

19. The MAT Trust Board (in conjunction with the local governing bodies) will ensure that the Accounting Officer for the MAT, the headteachers and deputy headteachers for all schools, as well as any key teaching staff, responsible for coordinating religious education and any chaplain or reserved post, shall be practicing Catholics. Any departure from this must be

approved in writing in advance by the Bishop and is to be reviewed on any future appointments.

20. The MAT Trust Board will make sure that the employment of all staff by the MAT shall be consistent with the policies from time to time notified to Catholic schools by the Bishop of East Anglia.

Appendix A

MAT - Responsibility, Accountability, Consultation, Information (RACI) Chart

Ref	Task	MAT	Diocesan Schools Director (or Strategic Advisory Board)	Diocesan Schools Department	Bishop of East Anglia (or Diocesan Director)
Key	R = The primary body responsible for the completion of the task				
	C = The primary body must consult with this body before completing the task				
	I = The primary body must inform this body once the task has been complete				
	A = The primary body must get formal approval for this task from this individual/organisation before it can be complete				
	Governance and Management				
1	Appointing Foundation Directors under Article 50 (who must be in the majority by at least 2)	C	C	C	R
2	Recruiting and Appointing MAT Directors under Article 50A (i.e. by the MAT Trust Board)	R	C	C	I
3	Recruiting and appointing reserve posts	R		C	
4	Religious Designation	A		C	R
5	Vision and Values	R	C	I	A
6	Strategy, Expansion and Leadership	R		C	
7	Strategic Organisation/Delegated Responsibilities	R		C	

8	Statutory Policies	R		I	
9	Risk Management Reviews	R	I	I	
10	Recruitment of non-reserve posts	R			
11	Staff Performance Management process	R			
12	Staff Professional Development	R			
13	Compliance with MOU	R	R	C	I
14	ESFA Returns	R			
15	DfE intervention	R	C	C	
16	DfE/ESFA approvals for significant changes (as per Diocesan Guidance)	R	C	I	A
17	Due Diligence of schools joining the MAT	R	C	C	
	Education Achievements				
18	Admissions Policy	R		C	
19	Educational Standards	R	I	I	
	Business Management				
20	Statutory Compliances (including Health and Safety)	R	I		
21	Compliance with Academy Trust Handbook	R	I		
22	Business Administration & Organisation	R			
23	Business Plan and Annual Budget Setting	R	I		
24	Estate Strategy/Capital Works	R	C	C	A
25	Pay and conditions of senior staff	R			
26	Insurance/Risk Protection Cover	R		I	A

Appendix B

Seven Principles of Public Life

These are:

Selflessness

Holders of public office should act solely in terms of the public interest. They should not do so in order to gain financial or other benefits for themselves, their family or their friends.

Integrity

Holders of public office should not place themselves under any financial or other obligation to outside individuals or organisations that might seek to influence them in the performance of their official duties.

Objectivity

In carrying out public business, including making public appointments, awarding contracts, or recommending individuals for rewards and benefits, holders of public office should make choices on merit.

Accountability

Holders of public office are accountable for their decisions and actions to the public and must submit themselves to whatever scrutiny is appropriate to their office.

Openness

Holders of public office should be as open as possible about all the decisions and actions that they take. They should give reasons for their decisions and restrict information only when the wider public interest clearly demands.

Honesty

Holders of public office have a duty to declare any private interests relating to their public duties and to take steps to resolve any conflicts arising in a way that protects the public interest.

Leadership

Holders of public office should promote and support these principles by leadership and example.

APPENDIX 2

FINANCIAL SCHEME OF DELEGATION & TERMS OF REFERENCE FOR THE RESOURCES COMMITTEE

The Local Governing Body

The Local Governing Body is responsible for the proper stewardship of those funds placed into its care and for ensuring economy, efficiency and effectiveness in their use – the three key elements of value for money. It must also ensure that it uses its discretion reasonably, and takes into account any and all relevant guidance on accountability and propriety. It is accountable to the Trust Board who in turn is accountable to the Secretary of State for Education and Parliament.

It is specifically responsible for ensuring that the Trust's funds are used in accordance with: the law; the Trust's powers under the Funding Agreements, the Articles of Association, this Scheme of Delegation, the Trust Financial Regulations and the Academy Trust Handbook.

Finance and Resources Committee Terms of Reference

The Finance and Resources Committee is a committee of the Trust. It meets at least once a term but more frequent meetings can be arranged if necessary. The main responsibilities of the committee include:

- the initial review and approval of the annual budget for each Academy and the Trust as a whole;
- the regular monitoring and scrutiny of the Trust's management accounts;
- reviewing any Trust Procurement Policy and the Scheme of Financial Delegation;
- reviewing and checking details of any contracts and purchases by the Trust;
- authorising the award of contracts and purchases;

- liaising as necessary with the Trust Board to ensure accountability and propriety and to manage the level of financial risk within the Trust as well as review the effectiveness of the financial procedures and controls.

Membership

- The committee shall consist of at least 3 Directors and the Chief Executive Officer, each of whom has voting rights when needed.
- The Diocesan Assistant Director (Buildings & Capital) and the Director of Finance and Operations shall be co-opted on a permanent basis with non-voting rights.
- The committee may co-opt additional (non-voting) members as deemed necessary.
- Other individuals (for example Chairs of Governors or Headteachers) may be invited to specific meetings as necessary.
- At its first meeting each academic year, the committee shall elect a Chair and vice Chair and review Terms of Reference.

Quorum

- The quorum shall consist of 3 Directors.

Meetings

- The Chair, or in their absence the Vice Chair, shall convene meetings
- The Committee will meet at least once each term
- The MAT Clerk will record the minutes
- A copy of the minutes will be circulated to the Directors and LGBs

Purpose of the Committee

This committee has responsibility for 3 key areas: finance, premises, including health and safety and staffing.

Overall Duties

- i. To ensure that all HR, Health and Safety and Financial obligations and duties of the MAT are observed, in line with legislative requirements.
- ii. To manage budgetary constraints, which could impact on resources.
- iii. To review and update any Policies delegated to the committee by the Directors
- iv. To work in liaison with the advisory bodies of the ESFA, the DFE, Children's Services within LAs, and with the Diocese
- v. To ensure that the Catholic ethos of the MAT is embedded across all areas.
- vi. To establish a pay committee and if necessary an ad hoc pay appeals committee

Finance

- i. To receive proposals from each Academy for budget recommendations, and to recommend the annual budget for the Trust and the Academies to the Trust Board for approval.
- ii. To approve the Trust's budget for the forthcoming 2 financial years and recommend its approval to the Directors.
- iii. To oversee, review and monitor the financial forecasts, including comparison of revised forecasts for the current year against the original budget previously approved. This will include an understanding of the key assumptions supporting the forecasts, such as student number projections.
- iv. Approve any purchases/virements and the write off of obsolete items of equipment and bad debts which are above the specified level delegated to the LGBs and are within the limits specified in the ATH (to be determined annually)
- v. Approve the previous year's annual report and audited financial statements and recommend acceptance to the Directors.
- vi. To receive and consider an annual value for money report prepared by the Director of Finance and Operations.
- vii. To ensure that the Trust Board is kept informed of all major financial issues concerning the Trust and its Academies, the overall Trust budget and those of the individual Academies, the management of funds against the budget, the benchmarking of financial performance and the heads of expenditure, the way funds are utilised (including value for money) and the way monies are secured.

- viii. To receive reports from the Director of Finance and Operations regarding the Trust's/Academies' finances. This will include reports on the monitoring of income against expenditure and proposals to revise forecasts for the year. The committee will work with the Trust and the Headteachers to make any recommendations to the Trust Board.
- ix. To monitor the production, timeliness and accuracy of key financial statements in response to both statutory and local requirements.
- x. To support (recommending and advising) the Trust Board in developing and then implementing a reserves policy and to consider the impact of strain in individual Academy budgets.
- xi. To support (recommending and advising) the Trust Board in developing and then implementing the Financial Regulations Manual and associated procurement policy.
- xii. To review tenders for major contracts as specified in the Financial Regulations Manual. To approve or reject tender proposals or, where required, make recommendations to the Trust Board.
- xiii. To recommend any changes in the Financial Regulations Manual, where significant, to the Trust Board. To review the operations of the Trust and the Academies in relation to the procedures shown in the Financial Regulations Manual. To work with the Headteachers to resolve breaches and to improve procedures as appropriate.
- xiv. To monitor key financial and accounting systems and reviewing any audits of these or the general finances of the Trust and make recommendations to the Trust Board and/or the Local Governing Bodies as appropriate.

Staffing

- i. To ensure staffing and Human Resources are managed in line with policy and Government Guidelines
- ii. To ensure there are appropriate performance monitoring and reward mechanisms are in place to support and develop both teaching and non-teaching staff
- iii. To oversee and scrutinise recruitment and retention processes to ensure each school recruits staff with the appropriate experience, skills and qualifications to provide the highest quality of teaching and learning for all pupils, including those with special educational need
- iv. To ensure mechanisms are in place to support the professional development and well-being of all staff.

Premises

- i. To provide support and guidance for each LGB on all matters relating to the school premises and grounds, security and health and safety
- ii. To assist the Directors, CEO and each Headteacher & LGB to discharge their responsibilities under the Health and Safety at Work Act 1974 and related legislation.
- iii. To consider policies on health and safety and recommend related policies for the schools for adoption by the LGBs
- iv. To receive reports to enable Directors to monitor the effectiveness of each school's health and safety arrangements. Appoint a named Local Governor for each school to make periodic inspections of buildings, plant and equipment and to report at least once a year to the LGB
- v. To receive reports on the premises and grounds and review the capital decisions for maintenance and development for the approval of the Directors.
- vi. To ensure any necessary liaison with the local authority's Property Services Department (e.g. playing fields) and Catholic Diocese of East Anglia

Accounting Officer, a role held by the Chief Executive Officer

As required by the Academy Trust Handbook, the Trust has appointed an Accounting Officer who has overall responsibility for the Trust and each Academy's activities including financial activities. The Accounting Officer is personally responsible to Parliament and to the accounting officer of the ESFA for the resources under the control of the Trust. The essence of the role is a personal responsibility for the propriety and regularity of the public finances for which they are answerable; for the keeping of proper accounts; for prudent and economical administration; for the avoidance of waste and extravagance; for ensuring value for money; and for the efficient and effective use of all the resources in their charge. Essentially accounting officers must be able to assure Parliament and the public of high standards of probity in the management of public funds.

Much of the financial responsibility of the Accounting Officer has been delegated to the Director of Finance and Operations, Headteacher and School Business Manager of each Academy but the Accounting Officer still retains responsibility for:

- ensuring the annual accounts are produced in accordance with the requirements of the Companies Act 1985 and the EFA guidance issued to academies);
- completion of the accounting officer's statement on governance, regularity, propriety and compliance for inclusion in the Trust's annual report;
- reviewing the effectiveness of the system of internal control;
- establishing and reviewing the procedures for the authorising and entering into of contracts and legal commitments;
- overseeing expenditure and advising the Trust Board where significant expenditure is anticipated, and;
- where indicated in this Scheme for Financial Delegation signing cheques and authorising BACs payments in conjunction with the bank mandate.

Chief Financial Officer, a role held by the Director of Finance and Operations

As required by the Academy Trust Handbook, the Trust has appointed a Chief Financial Officer who is responsible for the development and implementation of the accounting and financial processes adopted by the Trust. The Chief Financial Officer plays both a technical and leadership role, including ensuring sound and appropriate financial governance and risk management arrangements are in place, preparing and monitoring of budgets, and ensuring the delivery of annual accounts. The Chief Financial Officer may also support in an administrative function, supporting the business management teams in the Academies and ensuring there is consistency in financial procedures across the Trust. The Chief Financial Officer will lead on any procurement of supplies and services to the Trust as a whole or any strategic procurement to be undertaken by an individual Academy.

Shared Costs: Shared costs are reflected in the Trust's annual Strategic Plan. Directors approve the annual central management contribution payable by schools.

Financial powers: The Financial Regulations (which are published on the Trust website) set out the detailed Policies and Procedures concerning matters of financial control within the Trust, such as for example, controls over procurement, wages/salaries, budgeting and forecasting, income, assets. The Financial Regulations are reviewed annually and approved by the Resources and Audit committee.

APPENDIX 3

GOVERNANCE DECISION PLANNER

This decision planner is designed to work in conjunction with the formal Scheme of Delegation adopted by the Trust. It provides a quick reference guide to how some of the important decisions within the Trust are to be made. Any discrepancies between this document and the Scheme of Delegation shall be construed in favour of the Scheme of Delegation, which will take precedence.

The different levels of delegated power are listed below but it should be noted that not every task requires all levels of delegated power to be defined:

- Approve (A)
- Recommend (R)
- Propose (P)
- Develop (D)
- Monitor & Report (M)
- Consulted (C)
- Implement (I)

The governance and management layers within the Trust are identified as follows:

1. Trust Members (the “**Members**”)
2. Trust Board of Directors/Directors (the “**Board**”)
3. Trust Resources Committee (the “**RC**”)
4. Heads Operations Committee (the “**HOC**”)]
5. Local Governing Bodies (the “**LGB**”)
6. Chief Executive Officer (“**CEO**”)
7. Headteacher/Head Teacher of individual academies (includes Head of School or Executive Head Teacher where appropriate) (“**HT**”)

	Task	Members	Board	RC	HOC	LGB	CEO	HT
1	Governance							
1.1.	Approve Trust Articles of Association	A	R					

	Task	Members	Board	RC	HOC	LGB	CEO	HT
1.2.	Approve Trust Board Terms of Reference		A					
1.3.	Approve Trust Scheme of Delegation		A			I		
1.4.	Approve new converter or sponsored academies joining MAT		A	M			I	
1.5.	Establish Trust Committees		A				I	
1.6.	Approve Trust Committee Terms of Reference		A				I	
1.7.	Approve Local Governing Body (LGB) Terms of Reference		A			C		
1.8.	Establish LGB working groups		M			A		I
1.9.	Appoint Chair of Trust Board	C	A					
1.10.	Appoint Chair of LGB		A/R			A/R		
1.11.	Remove Chair of LGB		A			R/C		
1.12.	Appoint (and remove) Vice Chair of LGB		A/R			A/R		
1.13.	Appoint (and remove) LGB members		[A/R/M]			[A/R/M]	[C]	[C]

	Task	Members	Board	RC	HOC	LGB	CEO	HT
1.14.	Appoint (and remove) Chair(s) of Trust Committees/Working Groups (while they still remain LGB members)		M			A		C
1.15.	Appoint (and remove) Trust Committee/Working Group members (while they still remain LGB members)		C			A		C
1.16.	Appoint Board Advisors		A	C			P	
1.17.	Appoint (and remove) Clerk to Trust Board		A				P	
1.18.	Appoint (and remove) Clerk to LGB		M			A		R
1.19.	Organise calendar of Trust Board and LGB meetings		A			C	P	R
1.20.	Approve Directors Expenses Policy		A	M			I	
2	Finance							
2.1.	Trust & Academy Financial Regulations		A	I			R	C
2.2.	Trust & Academy Financial Procedures		A	I			P	C
2.3.	Appoint Trust auditors		A	R			I	

	Task	Members	Board	RC	HOC	LGB	CEO	HT
2.4.	Trust 5-year Budget Plan		A	R		C	P/I	
2.5.	Trust Annual Accounts		A	R			I	
2.6.	Directors Report		A	R				
2.7.	Trust Academies Accounts Return to ESFA		A	R			I	
2.8.	Response to Auditor's Management Letter			A/R			I	
2.9.	Academy 5-year Budget Plan		A/M	R		P/A	M	D
2.10.	Academy YTD reports					M	M	M
3	Teaching & Learning/Standards/Academy Performance							
3.1.	Trust Strategic Plan		A			C	P/I	C
3.2.	Academic Performance Targets		A		R/C	R	P/M	D
3.3.	Academy Performance Review <i>e.g. Academy Action Plan</i>		M		R/C	A	M	R/D
3.4.	Academy 1-year plan		A		R/C	P	M	R/D

	Task	Members	Board	RC	HOC	LGB	CEO	HT
3.5.								
3.6.	EYFS Policy		A			R	R/D	R/I
3.7.								
3.8.	SEND Policy		M			A	M	P/I
3.9.	SEND Plan & Quality of Provision		M			A	M	P/I
3.10.	Teaching & Learning Policy		M		R/C	A	M	P/I
3.11.	Curriculum Policy		M		R/C	A	M	P/I
3.12.	Relationships and Sex Education policy		M			A		P/I
3.13.	Religious Education policy	C	M			A		P/I
4	Staffing							
4.1.	Staff complement and structure		A/M		C	P/A	M/R	R/I
4.2.	CEO/Exec Headteacher appointment		A					

	Task	Members	Board	RC	HOC	LGB	CEO	HT
4.3.	Trust leadership structure		A			C		
4.4.	Headteacher appointment		A			P/R/C	P/I	
4.5.	Senior leadership appointments		C			A	R	R/P
4.6.	Teaching and support staff appointments					M	C	A
4.7.	Suspension of CEO/Exec Headteacher		A					
4.8.	Return of CEO/Exec Headteacher after suspension		A					
4.9.	Dismissal of CEO/Exec Headteacher		A					
4.10.	Suspension of Headteacher		A			P/R	I	
4.11.	Return of Headteacher after suspension		A			P/R		
4.12.	Dismissal of Headteacher		A			P/R	I	
4.13.	Suspension of teaching and support staff		C			A	M	R/P
4.14.	Return of teaching and support staff after suspension					A	M	I

	Task	Members	Board	RC	HOC	LGB	CEO	HT
4.15.	Redundancy of staff		A/C			P/R/A	C/M	P/I
4.16.	Restructuring of staff		A/C			P/R/A	C/M	P/I
5	Premises and Assets							
5.1.	Asset Management Policy		A			C	M	R
5.2.	Health & Safety Policy		A			C	M	R
5.3.	Commissioning of repairs or works up to £10,000		C			A	M	R
5.4.	Commissioning of repairs or works from £10,000 to £49,999		A/C			A	P	R
5.5.	Commissioning of repairs of works over £50,000 (will the Diocese get involved?)		A			R	P	I
6	Policies & Procedures							
6.1.	Pay & Remuneration Policy		A			C/R	P	I
6.2.								

	Task	Members	Board	RC	HOC	LGB	CEO	HT
6.3.	Changes to Employee Terms & Conditions or Collective Agreements		A		C	C	R	I
6.4.	Adoption of Transferring Policies and Collective Agreements		A		C	I		I
6.5.	Teachers Annual Pay Award		A			C	R	P
6.6.	Support Staff Annual Pay Award		A			C	R	P
6.7.	Individual Performance Pay Awards		C			A	R	P
6.8.	Performance Management & Appraisal Review Policy		A		C	R	P	I
6.9.	Disciplinary Policy		A			C	M	I
6.10.	Grievance Policy		A			C	M	I
6.11.	Capability Policy		A			C	M	I
6.12.	Whistle-blowing Policy		A			C	M	I
6.13.	Re-structuring & Redundancy Policy		A			C	M	I
6.14.	Employee Health & Safety Policy		A			C	M	R/I

	Task	Members	Board	RC	HOC	LGB	CEO	HT
6.15.	Academy times, terms and holidays		A		C	C	M	R
6.16.	Change of Academy Age Range		A		C	C	M	R
6.17.	Expansion of Academy PAN		A		C	R		P
6.18.	Extension of Academy provision (EYFS)		C			A		R
6.19.	Child Protection & Safeguarding Policy		A			C		I
6.20.	Attendance Policy		A			C		I
6.21.	Pupil Behaviour & Exclusions Policy		C			A		R/I
6.22.	Religious Ethos & Values Policy		C			A		I
6.23.	Temporary Exclusion					M		A
6.24.	Return after temporary exclusion							C
6.25.	Permanent Exclusions					C		A
6.26.	Appeals against Permanent Exclusion					A		R

	Task	Members	Board	RC	HOC	LGB	CEO	HT
6.27.	Complaints Policy		A			C		I
6.28.	Complaints Appeals		A			I	M	R/I
6.29.	Admissions Policy		A		C	I	M	R
6.30.	Allocation of places against Admissions Policy					A		R
6.31.	Admissions Appeals					A		R
6.32.								
6.33.	Academy website		C			A		R
6.34.	Academy logo & branding		A			C		R
6.35.	Academy uniform					A		R
6.36.	Academy Trips Policy					A		R
6.37.	Extended services on-site					A		R
6.38.								

	Task	Members	Board	RC	HOC	LGB	CEO	HT
6.39.	Pupil Premium Plan					A		R/I
6.40.	Equality and Diversity Policy		A		C	C		I
6.41.	Wellbeing and Workload		A		D	A		I

APPENDIX 4

RISK MANAGEMENT POLICY

Principles

The Directors have overall responsibility and ultimate decision-making authority for all the work of the Trust, including the establishing and maintaining of the Schools (which includes taking existing schools into the Trust). The Directors have the power to direct change where required.

The Directors have delegated authority for the running of each of its Schools to a Local Governing Body, who in turn fulfils a governance and oversight role in the conduct of the School, further delegating authority to the Headteacher and senior leadership team who are responsible for the internal organisation, management and control of the School on a day to day basis. The responsibilities of the Local Governing Body are set out in the “Scheme of Delegation”.

Risk management must prioritise and protect:

- The safeguarding of high quality and effective education of the young people in the Schools;
- The contribution made by staff to the success of the Trust and the Schools;
- The reputation and ethos of the Trust and the Schools and the principles upon which the Trust was founded;
- The common good in terms of the interests of the families in the communities served by the Schools and the pupils of the future; and
- The Scheme of Delegation and the balance struck between central management and local responsibility.

The responsibility of each Local Governing Body

It is the responsibility of each Local Governing Body (the Governors) to implement, monitor and verify such policies and procedures to facilitate an annual statement of compliance on those risks identified by the Board. The Governors should take such steps needed to meet their legal obligations including the requirements of the Department for Education (or any successor body) as they relate to the operation of the Schools. The Governors should provide resources to mitigate identified risks and declare any non-compliance to the Directors. The Trust should on behalf of the audit committee and the Governor’s commission on a regular basis external verification of internal systems so as to ensure the systems fitness for purpose and compliance to current standards.

The responsibilities of the Directors

It is the responsibility of the Directors to identify those risks which arise from academy status and membership of a multi-academy trust and develop policies and procedures which mitigate these risks (taking account of the principles identified above). To mitigate financial risk the Directors will require all Schools to use approved banking arrangements, audit arrangements, financial management systems, and premises insurance and building surveyors. Rigorous safeguarding policies are also a requirement for all Schools.

Beyond these areas the Directors should identify risks common to all Schools, set policy frameworks but seek not to impose specific control mechanisms for these risks as responsibility lies with the individual Local Governing Bodies. The Directors should receive compliance reports from the Local Governing Bodies (and declarations of non-compliance) and develop systems to support (having regard to the principles of subsidiarity and solidarity) individual Schools to mitigate risk to the Directors and the Trust generally.

Operation of the Risk Management Policy

To assist the Trust Board in fulfilling its function, the Directors will consider Risk Management as a function of the Audit Committee which is made up of appointed Directors, representatives of the Local Governing Bodies and the Executive Group, and others as may be required.

It is the duty of this committee to; review the termly schedule of self-assessments made by individual Schools' Local Governing Bodies and Headteachers (as updated in the Summary Headteacher Achievement, Risks and Ethos (SHARE) document); consider how to support Schools to mitigate identified risks, and; report to the Trust Board each term on the risks identified.

The Nature of the Risks

- **The safeguarding of those involved in the enterprise**

The Directors and Local Governing Bodies will be required to have safeguarding (including safer recruitment and e-safety) policies which comply with the requirements of the appropriate Safeguarding Board.

- **The employment of those involved in the enterprise**

The Directors and individual Schools should have a suite of legally compliant and common personnel policies, supported by advice and insurance.

- **The stewardship of the premises and site and the health, safety and welfare of those who use the site**

The Directors reserve the right to direct individual Schools with regard to the insurances of Trust property (buildings, land and assets) and to approve and be kept informed of planned capital works. The School should develop comprehensive health, safety and welfare policies to include systems to monitor compliance with regulation and good practice and to externally assess these at a regular period.

- **The vision and values of the Trust and the academic standards attained**

The Directors and the Governors should seek at all times to preserve and fulfil the vision and values of the Trust, honouring the principles upon which the Trust was founded. The Governors should report to the Directors on any OFSTED or other inspections and reports. Equally the Directors should be informed of trends and actual academic outcomes and other key indicators identified by OFSTED (or any successor body) in order that the Directors may broker support and advice from within (or beyond) the Trust to minimise the possibility of the Secretary of State using his reserve powers in relation to one of the Schools for which the Trust is responsible.

- **The financial integrity of individual academies**

The Directors reserve the right to direct the Schools to bank with a named bank and to direct Schools to use the services of agreed auditors. The Trust Board reserves the right to have annual agreed budget plans, termly budget summaries and annual outturn statements reported to it. Schools will not be permitted to operate deficit budgets without specific approval from the Trust Board. The Governors will agree such policies as required to comply with DfE requirements on the operation of academies, including in relation to the procurement of goods and services. The Governors will appoint a suitably experienced “responsible officer” who will oversee the financial integrity of the School with a particular reference to levels of delegation and the avoidance of over-reliance upon key individuals.

- **The capacity of the Trust Board and the Local Governing Bodies to discharge their duties**

The Trust Board and individual Local Governing Bodies will be expected to make an annual self-assessment of the skills and experience they require and their capacity to fulfil those requirements. Plans to mitigate deficiencies should be passed to the relevant Local Governing Body and the Trust Board. All Directors and Governors are required to hold an enhanced Disclosure and Barring Service (DBS) certificate and to make a declaration of interests at the beginning of each meeting. The Company will operate a Conflicts of Interest policy. The Company will ensure that good quality legal advice is available to the Trust Board, the Schools and those responsible for running the Schools on a day to day basis.

APPENDIX 5

TERMS OF REFERENCE FOR THE AUDIT COMMITTEE

AUDIT

Membership: The committee comprises no less than 3 Directors.

The Accounting Officer (CEO) is co-opted onto the committee on a permanent basis to provide information and participate in discussions. The remit does not extend to a decision making or voting role on this committee.

The committee has the authority to request that Headteachers, the Director of Finance and Operations and members of the constituent schools LGBs attend and report to meetings as necessary.

The committee may be combined with another committee, such as the Resources Committee.

Quorum: The quorum is 3 Directors.

Meetings: The Committee will meet at least three times a year. Copies of the minutes will be circulated to all Directors and the LGBs.

Role of the Audit Committee:

The Audit Committee will consider matters relating to internal control and auditors. In particular the Committee is to:

- I. Advise the Directors on the adequacy and effectiveness of the Multi Academy Trust's systems of internal control and its arrangements for risk management, control and governance processes, and securing economy, efficiency and effectiveness (value for money);
- II. Review the Statement on Internal Control (found in the Directors Annual Report and Financial Statements) and make appropriate recommendations to the Directors; advise the Directors on the appointment, reappointment, dismissal and remuneration of auditors (both external auditors and internal audit); monitor the effectiveness of auditors; ensure effective coordination between auditors ensuring that a consistent method of audit is adopted across all Academies.
- III. Ensure that any additional services undertaken by the auditors are compatible with the audit independence and objectivity;
- IV. Agree the work programme of internal audit including the checking of financial controls, systems, transactions and risks;

- V. Consider the reports of the auditors and, when appropriate, advise the Directors of material controls issues;
- VI. Monitor the implementation of agreed audit recommendations;
- VII. Ensure that all allegations of fraud and irregularity are appropriately investigated and controls weaknesses addressed, working with the Directors and the Headteachers of the Academies, as appropriate.
- VIII. Review and recommend the Audit Completion document (produced as part of the annual financial statements) to the Directors for approval.
- IX. Recommend the annual financial statements to the Directors for approval.
- X. Review the committee's membership and effectiveness on an annual basis to ensure that it has appropriate skills and relevant experience.
- XI. Ensure that the Catholic ethos of the MAT is embedded across all areas.
- XII. Advise and report to the Directors in relation any organisational risks which might impede the development and implementation of a long-term strategy for the success of the Trust. The Directors shall consider any such advice given by the Audit Committee.
- XIII. Support the Directors in developing an organisational structure which reflects the Trust's values and enables the management systems, structures and processes to work effectively in line with legal requirements (including those regarding data protection), and to ensure sound financial management.
- XIV. Support the Directors in the formulation of financial and risk management policies for the Trust and the Academies for achieving the aims and objectives set out in the Trust's Development Plan or long-term strategic vision.
- XV. Advise the Directors on the adequacy and effectiveness of the Trust's systems of internal control and its arrangements for risk management, control and governance processes and securing economy, efficiency and effectiveness (value for money).
- XVI. Regularly review the statement on internal control and make appropriate recommendation to the Directors and when appropriate communicate messages and advice to the Local Governing Body, liaising as necessary with any responsible officer appointed by the Local Governing Body to be responsible for financial oversight and risk management of the Academy's activities.
- XVII. Support (and challenge when necessary) the Directors and in particular the CEO who assumes the role of "accounting officer" to ensure he or she satisfies his or her duty as accounting officer towards:
 - Ensuring value for money;
 - Ensuring regularity and propriety;
 - Ensuring prudent and economical administration;
 - Avoiding waste and extravagance;

- Ensuring the efficient and effective use of resources;
- Keeping proper accounts;

- XVIII. Establish and implement a system of financial and risk reporting by the Academies to the Directors and to oversee that reporting to ensure that such a system complies with the Trust's legal obligations.
- XIX. Review any financial and risk report submitted by the Academies and advising the Directors on any issues arising from it as well as making recommendations for future reports to sustain the integrity of the financial and risk management systems.
- XX. Advise on the formulation and implementation of a policy for the approval and signing of contracts, ensuring all contracts to be entered into by the Academy are appropriate, have been authorised (or are within delegated authority) and do not expose the Academy to undue risk.
- XXI. Advise on policies for the securing of the funds and assets of the Trust including by the prevention of loss through fraud and irregularity.

APPENDIX 6

CONFLICT OF INTEREST POLICY

Why we have a Policy

Directors of a charity have a legal obligation to act in the best interests of the charity. For the purposes of charity law, the Directors are those people who are responsible for the general control and management of the administration of the charity. The Academy Trust is an “exempt” charity, the Principal Regulator being the Secretary of State for Education. The Articles of Association explain what the Directors must do to avoid situations where there may be a potential conflict of interest.

Conflicts of interests may arise where an individual's personal or family interests and/or loyalties conflict with those of the Trust. Such conflicts may create problems; they can:

- inhibit free discussion;
- result in decisions or actions that are not in the interests of the Trust; and
- risk the impression that the Trust has acted improperly.

The aim of this policy is to protect both the organisation and the individuals involved from any impropriety and/or any appearance of impropriety. This Policy operates without prejudice to any of the provisions in the Trust's Articles of Association relating to conflicts of interest.

The Declaration of Interests

Directors, Governors of the Local Governing Bodies and all staff must declare their interests, and any gifts or hospitality received in connection with their role in the Trust. A declaration of interests form is provided for this purpose, listing the types of interest you should declare. All interests, including gifts of a value over £50, must be notified to the Trust Chair and a Register of Interests will be maintained by the Trust.

To be effective, the declaration of interests needs to be updated at least annually and also when any changes occur.

If you are not sure what to declare, or whether/when your declaration needs to be updated, please err on the side of caution. If you would like to discuss this issue, please contact the Trust Chair for confidential guidance.

Data Protection

The information provided will be processed in accordance with data protection principles as set out in the Data Protection Act 2018, the UK's implementation of the General Data Protection Regulation (GDPR). Data will be processed only to ensure that Directors, Governors and all staff act in the best interests of the Trust. The information provided will not be used for any other purpose.

What to do if you face a Conflict of Interest

If you have a direct or indirect interest in:

- a) a proposed transaction with the Trust; or
- b) any transaction or arrangement entered into by the Trust which has not previously been disclosed;

you must disclose the nature and extent of that interest, whether or not you receive any actual benefit as a consequence of the interest. You may have an indirect interest in a matter if a family member or friend has an interest in the matter.

As good practice, every Director and Governor should declare any private interest which he or she has in an item to be discussed at the beginning of every meeting, and certainly before any discussion of the item itself. You should not be involved in decisions in which it is possible that a conflict will arise. You should declare your interest at the earliest opportunity and withdraw from any subsequent discussion.

If you fail to declare an interest that is known to the Clerk and/or the Trust Chair or the Chair of the Local Governing Body, the Clerk or Chair will declare that interest.

Decisions taken where a Director, Governor or Staff Member has an Interest

In the event of the Trust Board having to decide upon a question in which a Director, Governor or member of staff has an interest because of a duty or loyalty (however indirect) that they owe to another organisation, they will do so by vote, with a simple majority required. The conflicted individual may be present when the matter is discussed but must not take part in the discussion or vote on any matter relating to the discussion and a quorum must otherwise be present for the discussion and decision.

An individual will generally not have a conflict of interest or loyalty simply by virtue of the fact that he or she has been appointed or nominated to the Trust Board or to the Local Governing Body by a third party and the Trust has dealings with that third party, but if any undue influence is exerted by that third party or the individual has an interest in the matter for a reason other than mere appointment or nomination, then the circumstances will need to be reconsidered.

All decisions made where there has been a declared conflict of interest will be recorded by the Clerk and reported in the minutes of the meeting. The report will record:

- the nature and extent of the conflict;
- an outline of the discussion;
- the actions taken to manage the conflict.

Where a Director benefits from the decision, this will be reported in the Annual Report and Accounts in accordance with the current Charities SORP referring also to any potential conflict situation recognised in this Conflicts of Interest policy. All payments or benefits in kind to Directors will be reported in the Trust's Accounts and Annual Report, with amounts for each Director listed for the year in question.

Where a member of the Trust's staff is connected to a party involved in the supply of a service or product to the Trust, this information will also be fully disclosed in the Annual Report and Accounts again referring also to any potential conflict situation recognised in this Conflicts of Interest policy. The Trust formally acknowledges the Education and Skills Funding Agency's guidance on "related party transactions".

Independent external moderation will be used where conflicts cannot be resolved through the usual procedures.

Managing Contracts

If you have a conflict of interest, you must not be involved in managing or monitoring a contract or transaction in which you have an interest. Monitoring arrangements for such contracts will include provisions for an independent challenge of bills and invoices, and termination of the contract if the relationship is unsatisfactory.

Notified Conflicts of Interest

Without prejudice to any of the obligations on individuals as expressed in this Policy, the Directors have identified the following matter where relevant individuals will have an interest in the matter and such interest has not been expressly authorised in the Articles of Association but is considered to be a matter which is in the best interest of the Trust and is authorised provided the principles of this policy are observed (particularly in relation to discussions, decisions and management of the matter where there is a genuine conflict):

- The appointment or nomination of individuals by the Local Governing Body to serve on the Trust Board.

APPENDIX 7

Terms of Reference for the Trust Board of Directors

Membership

- Directors are appointed by the Diocesan Bishop, through the Members of the Academy Trust. The Diocesan Bishop retains the power to remove Directors if necessary.
- The term of office for any Director shall be 4 years (or a shorter period if specified at the time of appointment). This time limit does not apply to any post that is held *ex officio*.
- Any Director may be re-appointed.
- The Board may appoint Co-opted Directors as necessary for a period of one year, provided that by so doing no more than one third of the total number of Directors are employees of the Trust.
- At its first meeting each academic year, the committee shall elect a Chair and vice Chair and review Terms of Reference.

Quorum

- The quorum for a meeting of the Directors shall be any three Directors, or where greater, any one third (rounded up to a whole number) of the total number of Directors holding office at the time of the meeting.

Meetings

- The Chair, or in their absence the Vice Chair, shall convene meetings.
- The Board will meet at least once each term or as necessary.
- The MAT Clerk will record the minutes.
- A copy of the minutes will be made available to the Directors and Members.

Purpose of the Committee

The core strategic function of the Board of Directors is threefold:

- to ensure the vision of the Multi-Academy Trust (MAT) is enacted;
- to hold Headteachers to account for educational performance;
- to ensure that money is well spent.

To further these aims the Board of Directors has the following responsibilities:

1. Governance

- i. To recommend the Articles of Association for approval by the Members of the MAT;
- ii. To fulfil the Object of the Trust as set out in the Articles of Association;
- iii. To agree the Terms of Reference for the Board of Directors;
- iv. To determine the Scheme of Delegation and review as necessary;
- v. To establish suitable MAT committee structures and advisory structures with appropriate reporting mechanisms to ensure that the Object is fulfilled;
- vi. To determine the Terms of Reference for MAT committees and advisory bodies;
- vii. To oversee governance and delegate responsibilities and accountabilities to Local Governing Bodies (LGBs) as appropriate, in line with the Scheme of Delegation;
- viii. To determine Terms of Reference for LGBs;
- ix. To approve new converter or sponsored academies joining the MAT;
- x. To elect, from amongst the Directors, a Chair and vice-Chair of the MAT Board on an annual basis at the Board's first meeting of the academic year;
- xi. To ensure that the Catholic ethos of the Trust is embedded across all areas.

2. Obligations as Charity Trustees

- i. To comply with requirements of the Articles of Association and the Funding Agreement with the Secretary of State for Education;
- ii. To act responsibly and in the interests of the charity and in line with the expectations of the Diocese of East Anglia;
- iii. To manage any conflicts of interest;
- iv. To act in the interests of the Multi-Academy Trusts to fulfil the Object of the MAT;
- v. To exercise reasonable care and skill, taking professional advice where necessary.

3. Finance

- i. To ensure Trust assets and funds are used only in accordance with the law, and in line with the Articles of Association, the Master Funding Agreement and Supplementary Funding Agreements and the Academy Trust Handbook;
- ii. To establish a control framework and establish processes to provide assurance over the suitability of, and compliance with, its financial systems and internal controls;
- iii. To maintain a register of relevant business and pecuniary interests of members, Trustees and local governors;
- iv. To approve a 2-year Budget Plan;
- v. To appoint a registered statutory auditor and prepare annual financial statements in line with the Education and Skills Funding Agency's (ESFA's) Academies Accounts Direction and the Charity Statement of Recommended Practice (SORP);
- vi. To prepare an annual Trustees statement on the performance of the MAT;
- xii. To appoint auditors to undertake due diligence investigations with new converter or sponsored academies wishing to join the MAT;
- vii. To appoint service providers for shared services across the MAT, including the appointment of Payroll and HR providers;
- viii. To ensure that funding allocated to Special Educational Needs provision is distributed fairly and equitably across the MAT, given the different funding streams of different Local Authority providers;
- ix. To determine limits for spending that may be delegated to LGBs;
- x. To approve repairs or site works above the delegated limit for LGBs, in conjunction with the Diocesan Assistant Director (Buildings & Capital);

4. Staffing

- i. To establish an Appointments Committee as and when necessary, and in line with the Scheme of Delegation, to recommend an appointment for any Headteacher vacancy that may arise;
- ii. To formally appoint the Headteacher of any constituent academy;
- iii. To undertake, in collaboration with the LGB, the appraisal and Performance Management of the Headteacher and any MAT officers that the Board may appoint;
- iv. To undertake any disciplinary actions (which may extend to suspension and dismissal) which may be necessary with regard to any Headteacher or officer of the MAT;
- v. To undertake review of staffing across the MAT as may be necessary and agree any restructuring and/or redundancy;

- vi. To appoint a Chief Executive Officer (CEO) as Accounting Officer (AO) who will carry an overriding and personal responsibility for the proper stewardship of public funds, including the securing of value for money, regularity and propriety, and who will drive educational progress across the MAT;
- vii. To appoint a Chief Financial Officer to act as the Trust's Finance Director, responsible for overseeing the efficient use of the MAT's resources for the benefit of pupils, and for ensuring that systems for financial planning and control are appropriate and sufficient;
- viii. To appoint a MAT Clerk to the Board to advise Directors and oversee compliance with legal obligations and reporting requirements.

5. Teaching, Learning & Standards

- i. To receive reports from Headteachers and Trust committees so that educational performance may be monitored;
- ii. To direct intervention measures to support academies as may from time to time be necessary;
- iii. To determine academic performance targets on an annual basis.

6. Policies and procedures

- i. To adopt transferring employee Terms and Conditions and Collective Agreements;
- ii. To approve changes to employee Terms and Conditions or Collective Agreements;
- iii. To determine teachers annual pay award;
- iv. To determine support staff annual pay award;
- v. To determine Pay & Remuneration policy; Employee Disciplinary policy and procedures; Staff Grievance policy; Capability policy and procedures; Whistleblowing policy; Employee Health & Safety policy; and any other policies and procedures common to academies across the MAT.

APPENDIX 8

Terms of Reference for the Teaching, Learning and Standards Committee

Membership: The committee comprises at least 4 Directors.

The CEO is automatically a member. The committee is supported, on request, by at least one representative from each LGB, ideally the Headteacher

Quorum. The quorum is 3 Directors.

Meetings. The Chair of the Committee will convene meetings.

The Committee will meet once per half term or more frequently as necessary.

Copies of the minutes will be circulated to all Directors and the LGBs.

The committee's role will be:

- To examine, review and approve a broad and balanced curriculum in each school.
- To receive and challenge the educational data of each school.
- To monitor and challenge the progress of all pupils, especially disadvantaged pupils.
- To highlight areas of success and achievement.
- To highlight areas of concern and monitor actions taken to address shortcomings.
- To ensure that the Catholic ethos of each School is embedded across all areas.
- To consider all matters relating to the curriculum and to advise the Directors, as necessary, on the National Curriculum and the Curriculum Directory for Religious Education (published by the Bishop's Conference).
- To ensure that appropriate arrangements are in place for assessment and reporting pupils' progress.
- To ensure the highest quality of teaching and learning and that there are appropriate monitoring and validating procedures.
- To advise the Directors on arrangements required for pupils with special educational needs and disabilities and implement Special Educational Needs policies.
- To review and update any educational policies that are delegated to the committee by the Directors.
- To ensure a full cost effective programme of CPD for staff is in place and review in year.
- To ensure compliance with statutory requirements in relation to the Pupil Premium.
- To receive and note reports of Link Director Visits to schools.

APPENDIX 9

Terms of Reference for the Chairs Committee

Membership: The CEO, the Chair of Directors and the Chairs of all schools in the MAT. Other Governors from each school may be invited to attend, as may the Diocesan Schools Commissioner.

Quorum. The quorum is 3 Chairs, which includes the Chair of Directors.

Meetings: The Chair of the Committee will convene meetings.

The Committee will meet twice a year or as necessary.

Copies of the minutes will be circulated to all Directors and the LGBs.

Purpose: The Chairs Committee remit is to ensure clarity of communication, and the effective flow of information within the MAT under the oversight of the Directors. It will implement Directors' aims and objectives and agree, monitor and review calendars, reporting systems and communication.

Terms of Reference:

1. To act as a strategic group which links the Directors and the Local Governing Bodies, forming a conduit of information and action between Directors and LGBs
2. To advise Directors on the flow of information within the MAT and seek efficient methods of communication
3. To provide relevant reports to the Directors & Committees
4. To advise on the financial reporting cycle and information passed to LGBs for effective financial monitoring
5. To approve the calendar of MAT meetings for the next Academic Year
6. To agree committee structures within each school that support the efficient operation of the MAT
7. To agree common Clerking expectations with regard to agendas, minute taking and reporting of minutes
8. To feedback and advise on the operation of, or revisions requested to, the Scheme of Delegation
9. To advise on the implementation of policies, HR practice and common procedures across the MAT schools
10. To seek common approaches and/or share useful practice with regard to issues delegated to each individual school, e.g. admissions
11. To help design and implement joint policies and procedures where these are of benefit to the MAT
12. To develop, nurture and maintain the Catholic ethos of the schools and the MAT
13. To hold at least two Chairs Committee meetings a year

APPENDIX 10

TERMS OF REFERENCE FOR THE HEADS OPERATIONS COMMITTEE

OUR LADY OF WALSINGHAM CATHOLIC MAT

TERMS OF REFERENCE FOR THE HEADS OPERATIONS COMMITTEE (“the HOC”)

PURPOSE

The Heads Operations Committee (HOC) has been set up to provide a focus for the setting of policy and to support the development and implementation of the strategic vision of the Trust. The HOC will both support and challenge the Trust Board and will facilitate communication between the Trust Board and the Local Governing Bodies as well as provide an opportunity for the Academies to explore and develop areas of collaboration and shared working. The Heads Operations Committee remit is to ensure clarity of vision, ethos and strategic direction of the MAT under the oversight of the Directors. It will implement Directors strategic aims and objectives and agree, monitor and review policies, targets and priorities. The Heads Operations Committee is a single body for the purposes of the MAT and it has a single relationship formally with the Trust Board.

1. To act as the strategic group which links the Directors and the Local Governing Bodies, forming a conduit of information and action between Directors and LGBs
2. To provide relevant and timely information and reports to the Directors & sub committees
3. To offer mutual support and development
4. To implement strategic decisions taken by the Directors or Director Sub Committees
5. To hold at least six Heads Operations Committee meetings a year
6. To receive reports from any individual, school or committee to whom a decision has been delegated and to consider whether any further action is necessary.
7. To keep local school policies and practice under review and to make revisions where appropriate - if not entirely delegated to each school
8. To agree who will be the MAT SEND network lead annually
9. To oversee and agree joint CPD programmes across the schools
10. To oversee and agree joint staffing decisions where necessary across the schools
11. To develop leadership, and facilitate leadership support in schools when needed
12. To develop, nurture and maintain the Catholic ethos of the schools and the MAT
13. To design and implement joint policies and procedures where these are of benefit to the MAT

Membership:

The CEO, Primary Improvement Lead, Executive Officers, Headteachers and Heads of Schools. Other colleagues will be asked to join as and when appropriate.

Meetings:

The Chair of the committee shall convene six meetings per year.

Responsibility / Delegated Authority	Assessment
Ethos and vision	
Working in partnership with the Trust Board, support a clear educational vision, ethos and direction for the Trust, which promotes learning and the moral, social and cultural development of pupils and assist with the communication of this vision to the Academies and the wider community.	
Ensure the Trust Board formulates policies and practices which support the Trust's overall vision and aims as well as recognise the uniqueness of each Academy and the contribution each makes to the collective.	
Strategic Leadership	
The Heads Operations Committee shall meet at least six times a year and shall support the development of a strategic plan for the Trust, providing leadership vision and challenge to the Trust Board.	
Provide a perspective on the wider education community and the challenges facing schools.	
Assist with the creation of a public face and external identity for the Trust, facilitating discussions with those who might be considered strategic partners of the Trust.	
Advise the Trust Board in relation to the development and implementation of a long-term strategy for the success of the Trust and its Academies, identifying any threats and weaknesses as well as opportunities for growth and improvement.	
Where appropriate, undertake research on issues which might affect the Trust, ensuring best practice.	
Assist the Chairs of each LGB with the development of an action plan for each Academy, which identifies appropriate priorities and targets for improvement in the context of overall resource planning and the management and administration of each Academy and which supports the overall vision and aims of the Trust.	
Oversee the relationship between the Trust Board and the Local Governing Bodies of the Academies, ensuring that strategic control by the Trust Board is proportionate and that any intervention by the Trust Board is undertaken respectfully.	
Ensure the needs of each Academy are communicated to and understood by the Trust Board.	
Seek to identify areas where greater collaboration between the Academies can support the Trust's business strategy.	
Advise on the impact of any proposed change to admissions in respect of any Academy.	
Curriculum and Standards	

<p>Advise the Trust Board and the Local Governing Bodies on the development of a curriculum which meets each Academy's specific needs and has regard to:</p> <ul style="list-style-type: none"> • the national curriculum • the obligation to provide religious education, sex education and physical education • special educational needs • national testing. 	
<p>Advise the Trust Board and the Academies on the targets for relevant Key Stages and supports the leadership team in each Academy on action to be taken to maximise attainment and pupil progress and whilst doing so advise on the transition by pupils from one Key Stage to the next, whether that be to another Academy within the Trust or to another school.</p>	
<p>Facilitate school to school support.</p>	
<p>Provide a forum for discussion on the merits of any change to the curriculum and the impact of developments regionally and nationally.</p>	
<p>Resources</p>	
<p>Identify efficiencies through collaborative working.</p>	
<p>Provide support to each Academy's senior leadership team and any resource and recruitment committees.</p>	
<p>Support the Trust Board in the development of suitable HR policies and practices noting the need to achieve consistency across all Academies.</p>	
<p>Facilitate discussion with staff representative bodies, including the unions.</p>	

APPENDIX 11

TERMS OF REFERENCE FOR THE INTERVENTION BOARD

[NAME OF ACADEMY] (the “Academy”)

EFFECTIVE DATE [] REVIEW DATE []

PURPOSE

The Intervention Board’s primary role is to support the rapid turnaround of the Academy. The Intervention Board has been established by the Trust Board and may have been appointed before the School joined the Trust in order to support the conversion of the School. The Intervention Board shall meet at least twice a term, more if necessary.

The Intervention Board will ensure that the Strategic Plan for the Academy is being implemented and will advise on the development and review of the Plan, focusing on 4 key areas of action; leadership & governance, teaching & learning, facilities & resources and finance.

The Intervention Board will report to the Trust Board on progress, recommending further action as necessary.

MEMBERSHIP

Members of the Intervention Board have been drawn from the local community and have been appointed by the Trust Board, who will ensure the members have both the capacity and skills to work intensively within the Academy, providing support and challenge to the Academy’s staff and building on existing links with the community. Board members must be able to demonstrate an understanding of the ethos and values of the Trust and a commitment to fulfilling the Trust’s mission and objectives for the Academy, drawing on specialist skills where required. Whilst there is no limit on the numbers expected to serve on the Board, in most cases it is not anticipated that there will be more than 3, one of whom will be one of the Executive Trustees.

REVIEW

Operating with an Intervention Board is not intended to be a long-term arrangement and a target date has been identified for the review of the arrangement. Any initial period will not be greater than 12 months.

Responsibility / Delegated Authority	Assessment
Ethos and vision	
Support the actions of the Trust Board in developing and sustaining a clear educational vision, ethos and direction for the Trust and the Academy, which promotes learning and the moral, social and cultural development of pupils.	
Assist with the communication of the Trust's vision within the Academy's community, reinforcing the Academy's identity as a school within the family of schools run by the Trust.	
Establish systems and procedures in the Academy which recognise and prioritise collaborative working within the Trust where possible.	
Support the Trust Board in the formulation of policies and practices which reinforce the Trust's overall vision and aims as well as recognise the uniqueness of the Academy and the contribution it makes to the Trust and to the community.	
Compliance and Leadership	
Take the lead in the development of a strategic plan for the Academy which is consistent with the Trust's overall strategic objectives and identifies appropriate priorities for the Academy, targets for improvement and specific actions to be taken.	
Advise and report to the Trust Board on the implementation of the strategic plan, identifying any financial, educational and organisational threats and weaknesses as well as opportunities for growth and improvement.	
Support the Trust Board, as well as the leadership team within the Academy, ensuring that there is sufficient challenge and feedback so that continuous improvements can be made and preparing the leadership team for the transition to full delegated responsibility.	
Have regard to the public sector equality duty in the performance of any duty.	
Act in accordance with the terms of the Trust's Master and Supplemental Funding Agreements, the Trust's Articles of Association and the current ESFA Academy Financial Handbook in the performance of any duty.	
Ensure that at all times the Academy is meeting any legal requirements and duties.	
Finance and Risk Management	
Ensure that at all times any funds delegated to the Academy or otherwise held on behalf of the Academy are safeguarded, having regard to the duty of the Trustees as trustees of charitable assets and as recipients of public money.	

Responsibility / Delegated Authority	Assessment
Monitor, review and control the budget, expenditure and income of the Academy, acknowledging any amounts to be set aside for central costs and reserves, contributing to any discussions initiated by the Trust Board in relation to the budget of the Academy and the appropriate use of all funds available to the Academy.	
Support and introduce the systems of financial and risk reporting in respect of the Academy, reporting as required (including to any internal audit committee or to the external auditors) highlighting any specific risks which might jeopardise the fulfilment of the strategic plan for the Academy.	
Implement any Trust policy for the approval and signing of contracts, ensuring all contracts to be entered into by the Academy is appropriate, have been authorised (or are within delegated authority) and do not expose either the Trust or the Academy to undue risk.	
Curriculum and Standards	
<p>Advise the Trust Board on the development of a curriculum which meets the Academy's specific needs and has regard to:</p> <ul style="list-style-type: none"> • any nationally recognised curriculum priorities and initiatives • the obligation to provide religious education, sex education and physical education • special educational needs • national testing and attainment targets, and • any teaching objectives and priorities adopted by the Trust Board for all Academies. 	
Advise and report to the Trust Board on the targets for relevant Key Stages in the Academy, supporting the leadership team in the Academy on action to be taken to maximise attainment and pupil progress and advising on the transition by pupils from one key stage to the next supporting the development of a personalised learning plan for each pupil.	
Carry out regular reviews of the standards of teaching and learning in the Academy and agree with the leadership team specific actions to be taken to address areas of weakness, facilitating the sharing of best practice and the development of a training programme for staff which draws on the strengths of the Academy and secures additional resources which meet needs.	
Pupil Behaviour and Attendance	

Responsibility / Delegated Authority	Assessment
Formulate and implement a pupil behaviour policy in accordance with guidance produced by the Department for Education and as advised by the Trust Board.	
Staff Recruitment	
In conjunction with the Trust Board (but having regard to the advice of the Academy's leadership team), develop a staffing structure for the Academy, identifying the number of staff required to be employed at the Academy, the levels of the posts and the role responsibilities of all staff employed and supporting, where appropriate, the sharing of resources between the Academies.	
Ensure the implementation of the HR policies adopted by the Trust Board.	
Having regard to any advice of the Trust Board and the Leadership Group, appoint all staff to work in the Academy, establishing an appointments committee in respect of the appointment of a Headteacher and such other key leadership appointments as advised by the Trust Board.	
Staff Appraisal and Performance Management	
Evaluate the standards of teaching and learning in the Academy and ensure that proper standards of professional performance are established and maintained.	
Implement any pay policy and performance management policy for all teaching and non-teaching staff employed at the Academy put in place by the Trust Board, ensuring all affected staff of the Academy are kept informed and consulted if necessary.	
Implement any written policy for the appraisal of all teaching and non-teaching staff who work in the Academy put in place by the Trust Board, having regard to the objectives of the Academy's strategic plan and ensuring all staff of the Academy are kept informed and consulted if necessary.	
Carry out the performance management and appraisal of the Headteacher, any deputies and other key leadership appointments in the Academy, supporting the Academy's leadership team in the performance management of all other staff, advising the Trust Board of any areas of weakness or where additional support and/or training is required.	
Advise the Trust Board on an appropriate programme for the training and professional development of all staff in the Academy, supporting and working with any Trust programme for the development of the Headteacher and other key leadership appointments.	
Implement any written policy for staff disciplinary and grievance procedures put in place by the Trust Board and where appropriate advise on and support the Academy's leadership team on the implementation of the same.	

Responsibility / Delegated Authority	Assessment
Undertake any disciplinary or grievance procedure for the Headteacher and other members of the Academy's leadership team, reporting to the Trust Board.	
Facilitate discussion with staff representative bodies, including the unions, at both Trust Board level and within the Academy.	
Premises And Resources	
Formulate, implement and keep under review a policy for health and safety at the Academy, having regard to any advice issued by the Trust Board and/or the Leadership Group from time to time.	
Provide such advice and information as may be required to enable the Trust Board to take out and review the level of insurance cover for the Academy and at the request of the Trust Board undertake appropriate and regular risk assessments.	
Advise and report to the Trust Board on any estate management strategy for the premises and facilities used by the Academy, identifying any planned maintenance and any need for substantial works to meet the strategic aims of the Academy, including considering the availability of funding or the need to secure funding.	
No significant capital works will be undertaken or commissioned by the Intervention Board without the express written consent of the Trust Board and the Diocese.	
Ensure any works to the premises are carried out by appropriately qualified workmen, notifying the Trust's insurers as appropriate.	
Ensure any use of premises or facilities for community or income generating purposes are carried out in compliance with any policy issued by the Trust Board in relation to such matters (including any prepared on the advice of the Trust's accountants) and keeping a separate account of any income received so that this can be identified separately in the Academy's accounts.	
Delegation	
The Intervention Board may delegate any powers and responsibilities to the Headteacher of the Academy, subject to any requirements of the Trust Board. The Intervention Board will ensure the gradual transfer of responsibility to the Headteacher, the leadership team and the Local Advisory Body when established is done sensitively to help successfully deliver the Academy's long-term strategic plan.	